

Science, trust and policy-making: A project for the Prime Minister's Council for Science and Technology

Scheme Notes for Applicants

Competition

PLEASE READ THESE SCHEME NOTES CAREFULLY

ANY APPLICATION INCORRECTLY SUBMITTED WILL NOT BE ELIGIBLE FOR AWARD

Applications should be submitted well in advance of the deadline; we recommend at least five days prior to allow for institutional approval.

Summary

- 1. The British Academy is inviting proposals from UK based researchers in the humanities, social sciences, and the arts (the SHAPE subjects) to explore public expectations and beliefs about the role and trustworthiness of science in policy-making across different areas of policy.
- 2. To respond to the topic of this scheme, we are inviting researchers to explore the following research question:

Under what conditions is science viewed as relevant and authoritative in policy-making?

The aim is to examine which factors influence why particular policy issues are seen (by the media and by publics) as requiring scientific evidence to underpin decisions; and, linked to this, what types of scientific claims have most traction and ability to elicit trust.

- 3. Research arising from this call will feed into a significant new British Academy policy project on this topic. The outcome of the research under this scheme and complementary British Academy work in this area will conclude in a report and recommendations for the Prime Minister's Council for Science and Technology.
- 4. We expect to make an award of up to £100,000, (with Full Economic Costing at 80%). composed of two interrelated parts, starting in September 2022. We expect the project will run for no longer than 12 months, with an interim reporting deadline in February 2023 (specified below). Awardees will be required to engage closely and regularly with the British Academy throughout the award duration and meet clear deliverables and deadlines.
- 5. Applications must be submitted by 6th July 2022, 17.00 (UK time).

Background

- 6. The COVID pandemic has highlighted the critical role of public interest in and understanding of science in promoting effective governance. The unprecedented level of media and political coverage of science has almost certainly generated deeper public engagement with science; and this in turn has underpinned critical engagement in the scientific claims underpinning policy-making. In certain contexts/for some groups, greater awareness of the science and evidence base supporting policy has also enhanced support for and compliance with a range of public health interventions.
- 7. Clearly, policy and public political debate are shaped by a range of factors and are not always 'underpinned' by science. However, we are keen to understand how far public interest in and engagement with science can enrich public debate and enhance the quality and accountability of policy-making. The notion that public engagement with science can enhance public debate and policy-making challenges long-standing concerns that there is an inherent trade-off between technocratic and more inclusive or participatory modes of policy-making. Instead, we are interested in exploring how the

two can be mutually reinforcing: where publics expect and demand that science play a role in political debate and policy-making, this can augment the legitimacy and accountability of public policy.

Scope of the Call

8. This call for research aims to understand how government and the scientific community can help foster public interest and engagement in the science underpinning policy. It does so through focusing on the conditions under which science and scientific claims come to be viewed as relevant, authoritative and trustworthy by publics, with particular attention to the role of government and the scientific community in communicating science. The call focuses on the following question:

Under what conditions is science viewed as relevant and authoritative in policy-making?

- 9. This question can further be broken down into two elements: Which factors influence why *particular policy issues* are seen (by the media and by publics) as requiring scientific evidence to underpin decisions? And what *types of scientific claims* have most traction and ability to elicit trust?
- 10. Based on existing literature, we recognise that the following factors are among those likely to play a role:
 - Nature of the societal challenge (more technically complex areas, or those characterised by risk, may be more obviously amenable to scientific interventions)
 - Level of political contestation (more salient and contested areas may encourage marshalling of science to support rival positions, undermining the authority of science and/or may encourage mis/disinformation to surface in different ways)
 - Level of scientific certainty (scientifically contested theories or claims may be less likely to elicit trust or consensus)
 - Extent to which and how the relevant publics think they will be affected by the societal challenge in question.
 - Government approach to invoking science (more visible/prominent marshalling of science may influence public views on the relevance of science)
 - Features of the scientific disciplines/communities being mobilised (e.g. medical sciences may elicit more trust because of proven success/advances in medicine and trust in health professionals)
 - Effectiveness of science communication (investment in training/ professionalisation of science communication may lead to more exposure and traction in public communications)

Applicants will be expected to set out clearly which of these, or indeed other, assumptions (including those which may challenge these assumptions) will guide their analysis and be further tested during the work.

- 11. In order to address the questions above, we expect to commission a project with two interlinked parts, with each part supported by/closely coordinating with each other and with the Academy's policy teams. A particular focus should be the implications of the research for the role of government and the scientific community in enhancing (or undermining) public understanding, interest and engagement with science for policy.
- 12. **Part A** we expect to be a comparative case study analysis. Applicants will be required to address the questions through comparative analysis of public engagement and debate on a science-based policy issue across 3 policy/case study areas. Given the need to cover 3 case studies over a short period of time, we would expect the research to focus on political and media communication of science in policy debates, rather than in-depth analysis of public attitudes and beliefs (noting that this latter aspect will be covered in Part B and in the wider programme of activities led by the BA), but we are open to any methodologies which will meet the requirements of the core research question.
- 13. The 3 policy case studies should be selected to enable comparison across key variables and factors (such as level of political/public contestation, level of scientific uncertainty, and the range of scientific disciplines involved), but as above the research team will be expected to propose an appropriate comparative methodology. The case studies should also be areas of importance and interest to UK policy. The British Academy will agree on the focus of these case studies in discussion with the research team once the project is awarded. Possible cases might be related to aspects of energy technology, agriculture/food, and public health, however these are only broad areas of suggestion and the research teams should put forward their ideas and rationale in their proposal.
- 14. Methods for the case studies will be suggested through the tender process, but could include qualitative and quantitative methods including content and discourse analysis of how science is invoked in political debate, political communications and media coverage; and interviews with government officials and scientists to understand how they communicate the scientific issues underpinning decision-making in these areas.
- 15. **Part B** we anticipate to be survey-based, including innovative approaches to survey design (or similar method) to analyse how members of the public engage with different kinds of science communication, including across the policy areas analysed above. The survey design would build on initial findings from Part A (e.g. basing scenarios on different types of science communication) and so would start at a later date.
- 16. Proposals are encouraged to reflect on how the concept of 'publics' is deployed in the research for example by conceiving of publics as 'emergent' (brought into being through matters of concern or through engagement processes themselves); and they may want to acknowledge different conceptualisations of publics, such as representative publics, 'mini-publics', 'issue publics', or 'silent publics'.
- 17. We expect applications to build on existing or ongoing research, including syntheses of existing or ongoing research findings. Applicants are encouraged to provide commentary on value for money and economies of scale building on existing work, expertise and insights held by the research team.

- 18. The Academy will look to utilise insights and findings from the projects in real-time, convening and supporting the awards to disseminate and share their outputs as the work develops. The successful research teams will be expected to engage actively with this additional translational support and expertise provided by the Academy. This will include participation in roundtable events or small workshops that the Academy will be convening as part of a parallel stream of work for this project and the research teams will be invited to attend these events as a means of enhancing their insights and research. However, these additional events are not intended as a substitute for the research itself and any methodologies being utilised.
- 19. As part of the above, awards will be required to work closely and regularly with the Academy. These will include regular catch-up meetings with the provision of updates on progress made. The Academy will work with the awards also to develop an overarching set of recommendations across the studies supported.
- 20. The remit of the programme does not include primarily practice-based outputs such as musical composition and performance, visual practice, creative writing and film-making. These areas of research will be considered to fall within the Academy's remit only when they form part of an integrated project of demonstrable critical or historical significance.
- 21. In all cases, it is for the applicants to demonstrate the feasibility and cost-effectiveness of their proposed approach.

Research outputs

- 22. The findings will form part of the package of insights that are fed back to CST and will help to underpin the policy recommendations made by the Academy in its final report.
- 23. The research team will need to deliver an interim report, the scope of which is to be agreed with the Academy but which must contain interim findings from across all three case studies, once the research has been awarded. The interim report must be delivered by 7 February 2023. It must be delivered in a format which summarises clearly any emerging findings which can be utilised by the Academy in an interim report due to CST by March 2023. The interim report will be peer reviewed by the Academy.
- 24. Research outputs from the two projects must include short, crisp summaries of the study of around 15-20 pages as well as a longer, more detailed report. In addition, we expect the applications to be able to produce further outputs such as policy briefings, summary presentations and community-focused material.
- 25. The UK host organisation is expected to adopt the principles, standards and good practice for public engagement with research set out in the <u>Concordat for Engaging the Public with Research (2010)</u> and subsequent amendments.

Research Ethics

- 26. Applicants must ensure the proposed research will be carried out to a high ethical standard. They must confirm that any potential ethical issues have been considered and explain how these will be addressed. We require the research we support to be conducted in an ethical manner, including with regard to safeguarding.
- 27. The UK host institution is responsible for ensuring that ethical issues relating to the research project are identified and brought to the attention of the relevant approval or regulatory body.
- 28. Ethical approval to undertake the research must be granted by the relevant authority before any work requiring approval begins. Wherever necessary, appropriate consent should be obtained from or on behalf of participants or others affected by the research.
- 29. Applicants will be asked to indicate whether their proposed research raises any special ethical issues, and whether their application has been approved by the host institution's Research Ethics Committee or other relevant authority.
- 30. The UK host organisation should meet the requirements of the <u>Concordat to Support Research Integrity (2012)</u> and subsequent amendments and must have in place formal procedures for governing good research practice and for handling and reporting allegations of fraud or research misconduct.

Risk Management

- 31. Researchers funded under this programme may choose to undertake fieldwork in the course of the research project. We expect an assessment of any risks entailed within the research project to be outlined within the proposal (for example, risks associated with the delivery of the research, financial management and oversight/governance).
- 32. Research groups will be required to indicate if (and where) they intend to undertake research in the field. Applicants are required to demonstrate that the Principal Investigator (PI) (and the PI's UK host institution) understands the risk management implications and can monitor and manage the risks effectively. This aspect will be explicitly covered in the approval of any application by the appropriate authorities at the PI's UK host institution, to confirm that the duty of care responsibility rests with the host institution.

Eligibility of Principal Investigators (PIs), Co-Applicants and Other Participants

- 33. The project will be led by a named PI. The PI must be a researcher in a discipline within the social sciences or the humanities. The PI is expected to direct the research and the management of the project and has responsibility for the overall project reporting requirements.
- 34. The PI must be of postdoctoral or above status (or have equivalent research experience). The PI must hold an established role in an eligible UK university or UK

- research institute. The PI's position must last at least the duration of the grant funded by the Academy.
- 35. PIs may not hold more than one British Academy award of a comparable nature at any one time.
- 36. An individual cannot be the PI on more than one bid under this call.
- 37. The PI will be expected to commit time to the project during the course of the award equivalent to at least four hours per week.
- 38. Duplicate applications for the same purpose to more than one British Academy funding programme will not be accepted.
- 39. Co-Applicants may be expected to be in a position to take over the leadership of the project in the event that the PI is unable to continue in the role for any reason.
- 40. We expect to see PIs and Co-Applicants based in the UK and a Co-Applicant can be based regionally.
- 41. No individual may be a Co-Applicant on more than two projects under this call (nor may a PI be additionally a Co-Applicant on more than one other project).
- 42. Projects may also include any number of specified 'Other Participants', who may, for example, be relevant stakeholders participating in networking or dissemination events, academic or policy advisers, practitioners, industry representatives, etc.
- 43. Research assistance is an eligible cost under this programme and it can be supported where a reasonable case is made in line with the type of work that is required to be undertaken.
- 44. Applicants are expected to adopt the principles, standards and good practice for the management of research staff set out in the <u>Concordat to Support the Career Development of Researchers (2019)</u> and subsequent amendments. Research staff should be appointed on terms that are no less favourable than those of comparable posts in the host organisation.

Duration and Value of Awards

- 45. Awards will start in September 2022 for a duration of up to 12 months. Being able to meet the required deliverables and deadlines will be a key criterion:
 - 1 September onwards participate in any British Academy organised workshop, roundtable other events and forms of engagement. Information on any of these activities will be communicated by the British Academy team in advance
 - 28 October 2022 update on current progress and project timeline
 - 5 December 2022- update on current progress and project timeline
 - 7 February 2023- deliver interim report

- 8 September 2023 deliver final outputs including the 15-20 page summary and the longer more detailed report
- 46. Funding may be used to support:
 - a. the time of the PI and Co-Applicants
 - b. research assistance
 - c. travel, fieldwork and related expenses
 - d. networking costs
 - e. institution costs in hosting and supporting the project, with award-holders expected to base the division of spend on the Full Economic Costing basis at 80%
 - f. commissioning of a survey
- 47. The following items are <u>not</u> currently eligible for funding:
 - a. purchasing of assets
 - b. computer hardware including laptops, electronic notebooks, digital cameras, etc.
 - c. books and other permanent resources
 - d. the preparation of camera-ready copy, copy-editing, proof-reading, indexing, nor any other editorial task
 - e. subventions for direct production costs (printing, binding, distribution, marketing etc.)
 - f. costs of publication in electronic media
 - g. travel and maintenance expenses for purposes such as lecture tours or to write up the results of research
- 48. All grants will be paid to the employing institution of the PI, and not to the individual researchers involved. Institutions must be officially recognised by the British Academy prior to the proposal being submitted.

Selection Criteria

- 49. All eligible proposals submitted in response to this call will be assessed by relevant British Academy peer reviewers.
- 50. Applications will be assessed against the following criteria:
 - Relevance of the application to the programme aims and scope of this call, including the approach the applicants propose to take in responding to the questions;
 - b. The quality of the proposed programme of work;
 - c. The feasibility of the proposal in terms of appropriate and robust methodology;
 - d. The expertise of the applicants in the subject area;
 - e. The demonstrated commitment and ability to meet the deliverables and deadlines outlined above;
 - f. Value for money.

Application and Assessment Procedure

- 51. All applicants must register in the British Academy's online <u>Flexi-Grant</u> system to enable the processing and assessment of their application. All applications must be submitted in English.
- 52. All applications will be subject to an eligibility check undertaken by appropriate British Academy staff before being put forward for assessment, and **applications that are not completed correctly and on time will not be considered.**
- 53. The deadline for submissions and UK institutional approval is **6th July 2022 at**17.00 (UK time). Applicants will not be allowed to make any changes to their applications or submit any additional information after the 6th July deadline.

Code of Practice

- 54. The British Academy has a <u>Code of Practice</u> for assessing applications, setting out the principles of equity, integrity and confidentiality governing the treatment of all applications for research support. The Code of Practice also covers Data Protection, the Academy's ethics policy and the appeals procedure.
- 55. Feedback is not a feature of this programme and the Academy is, regretfully, unable to enter into correspondence regarding the decisions of the awarding committee, which are governed by the Code of Practice. Please note that by applying to this programme, applicants undertake to accept the terms under which applications are assessed.

Contact Details and further information

56. Please contact policy@thebritishacademy.ac.uk for further information.

Annex: Application Information and Flexi-Grant® Guidance

Applications can only be submitted online using the British Academy Flexi-Grant® Grant Management System (GMS) via (https://britishacademy.flexigrant.com/). If you have not previously used the British Academy's Flexi-Grant® GMS and were not registered in the previous e-GAP system, please follow the registration process from the Flexi-Grant® homepage.

Applications cannot be submitted on paper or in any other format.

Before completing the online form, all applicants should check that they comply with the eligibility requirements and ensure all necessary information is presented in the application. These

requirements are strictly adhered to, and applications without all the necessary information, or evidence to show the assessment criteria are met, will be rejected.

Registered approving organisations will be available in the search bar. If your organisation is not listed and is not affiliated with any organisations already in the system, then please contact us at policy@thebritishacademy.ac.uk to request the addition of the organisation.

The deadline for submissions and Host organisation approval is **6th July 2022 (17.00 UK time)**. Applicants will not be allowed to make any changes to their applications or submit any additional information after the **6th July deadline**.

When completing your application on the British Academy Flexi-Grant® GMS, it is recommended that you take particular note of the following points:

- *Personal details:* When registered in the British Academy Flexi-Grant® GMS, a user has the option to add or update personal information such as contact details, log-in details (including email address and password), interests, research and employment details, at any time. This represents a personal record of your account in the system and will help to populate the contact details in any application form you complete. It is useful if this information is kept up to date, but it is not essential to the progress of an application.
- Automatic log-out: You are strongly advised to save your work regularly to prevent accidental loss of information. In particular, you should be aware that if the system does not detect any activity for 1 hour it will log out and save the application at that time. Please note that moving between pages within an application form will save the page that you are exiting but completing a field on a page is not considered an activity. It is recommended that you write the text for longer sections/fields in a word processor such as Word and then copy and paste into the relevant text box to avoid being timed out in this way.
- *Multiple sessions:* You should not have multiple browser windows/tabs of your application open at the same time as this may cause information to be lost. Only one user should edit an application at a time, otherwise changes might be lost.
- Word limits: When completing boxes that have a restricted length (note that it refers to words) you should note that if you exceed the specified amount you will not be able to save when you press the save button. You should type the text for the longer-length boxes in a word processor. You will then be able to check the word count and paste it into the British Academy Flexi-Grant® system. You will then still have a copy of the text to return to in the word processor. The word limit applies to text boxes.
- *Plain text:* If entering plain text, please avoid using symbols as some may not be accepted by the British Academy Flexi-Grant® system. You should generate and view a PDF of your application to check that the application appears as you want it to by clicking on 'print application'.
- *Uploading PDF documents:* Please note the only item you may upload is a copy of a CV. When uploading PDF documents, please add your name and a heading at the top of every page to show what the document is. Please avoid uploading documents containing illustrations with fine details or colour as this can cause problems when creating a PDF of the application. Please note we will only print your applications in black and white. Each PDF cannot exceed 2 pages in length or 3 Mb in size.
- *Email addresses:* The British Academy Flexi-Grant® GMS relies heavily on automatic email contact. It is essential that you ensure you enter accurate email addresses where requested as it may cause considerable delay in the submission/processing of your application if any of these are

incorrect. You cannot make changes to email addresses after your application has been submitted for approval.

• Submission of application: You will not be able to submit your application until you have completed each section in full. You should submit your application for approval by a designated approver at your host organisation at least 5 working days before the closing date to allow for your host organisation's administrative procedures. Please note that the institutional approver is a person within the Host organisation, usually within the central research support office, who has authority for approving all applications submitted to the British Academy. Please be aware that any co-applicants or other contributors will need to mark their sections as 'complete' before you will be able to submit your completed application form.

Once you have submitted your application for approval by your host organisation, automatic emails will be sent to your host organisation approver asking them to log on to the system. You will not be able to edit your application after it has been submitted to your host organisation for approval. The host organisation approver will either: approve and submit your application, 'send back' your application and contact you to request modifications (if before the **6th July deadline**) or decline your application and contact you.

Please be aware that it is your responsibility to ensure that you complete your application in time for your host organisation to process it (including requesting changes) and provide their approval by the closing date. It is recommended that you allow at least five working days for this process, but please check with your proposed host institution as their internal timetables may require earlier submission.

If your host organisation approver requests modifications through the British Academy GMS email facility, they can unlock your application, allowing you to edit it. They can do this by selecting 'Return to Applicant'. An automatic e-mail will be sent to you alerting you of this fact. Once you have completed and saved the requested changes, please re-submit your application for approval by your host organisation.

Once your host organisation has approved your application and submitted it to the British Academy, it will not be possible to make any changes.

- Application sharing: All applications must be started by the applicant who is to be the Principal Investigator (PI) on the award. You can invite other contributors to join the application (e.g. Co-Applicant, Finance Office contact etc.), but in order to do so your contributor will need to be registered in the British Academy's Flexi-Grant® system first. You will need to ensure you have their registered email address to invite them to participate in your application. You can let other British Academy Flexi-Grant® GMS users view your draft application in advance of submission by providing their email address. They will be able to log in using their existing password and see your application and, depending on permissions, amend your application.
- *Application deletion:* You can delete your application at any time although it is often a lot easier to just re-edit your existing application. We will be able to recover a deleted application for a period of 7 days after deletion. After this, it will be permanently removed from the system.
- *Application returned for editing:* The approver can return your application to you for further editing before the closing deadline of the call. See 'Submission of Application' above.
- *Guidance:* In the tables below you will find in the left-hand column each question as set out in the application form and in the right-hand column useful guidance on its completion.

• Further clarification: If any of this advice is unclear, or you need further information, please do not hesitate to seek clarification from the British Academy's Public Policy Team (contact details at the end of these notes).

PLEASE NOTE THAT IT IS ESSENTIAL THAT YOU CREATE A PDF OF YOUR COMPLETED APPLICATION (by clicking 'Print Application'), AND CHECK IT THOROUGHLY, INCLUDING EMAIL ADDRESSES AND UPLOADED PDF FILES, BEFORE SUBMITTING IT FOR APPROVAL BY YOUR HOST ORGANISATION. IT MAY NOT BE POSSIBLE TO RECTIFY MISTAKES IN TIME FOR THE DEADLINE.

WORD LIMITS APPLY TO PLAIN TEXT ONLY. PAGE LIMITS APPLY TO PDFs ONLY.

ALL FIELDS MARKED WITH AN ASTERISK* ARE MANDATORY.

YOU SHOULD NOT HAVE MULTIPLE BROWSER WINDOWS/TABS OF YOUR APPLICATION OPEN AT THE SAME TIME AS THIS MAY CAUSE INFORMATION TO BE LOST. ONLY ONE USER SHOULD EDIT AN APPLICATION AT A TIME, OTHERWISE CHANGES MIGHT BE LOST.

Completing the application form: The application can be completed by using the navigation tabs on the application summary page, with each page categorised as follows:

Page 1: Research Proposal

Page 2: Financial Details

Page 3: Lead Applicant Details

Pages 4-5: Co-Applicant Details

Page 6: Equal Opportunities

A full list of question fields to be completed as part of the application can be found in the following table. Each box in the table represents a page of the application form: the left-hand column contains the questions within each of these pages as set out in the form; the right-hand column contains useful guidance on its completion. A red asterisk (*) indicates which questions are mandatory and therefore must be complete before the application can be submitted. **Please read the guidance notes carefully before completing the form.**

SUMMARY

Summary Table

When your application form is complete, all sections on this summary table will be marked as 'Complete'. The 'Complete' status will only appear when all the mandatory fields in that section have been completed. All mandatory fields are marked with an asterisk '*'. Only once all mandatory fields are marked as "Complete", and all Contributors are all marked as "Complete" will a 'Submit' button appear for you to be able to submit your application.

Page 1: RESEARCH PROPOSAL

Title of Research Proposal*	Please state the title of your research project.
Abstract*	Please provide a short abstract summarising your proposed research in terms suitable for an informed general audience, not one specialised in your field. This is a mandatory field and the limit is 150 words.
Start and End Date Confirmation*	Please confirm that you will start your research in September 2022, and that your research will end no later than 12 months later.
	The start date must be no earlier than 1 September 2022 and no later than 15 September 2022
Proposed Programme*	Please give a detailed description of the research programme, including methodology:
	Applicants should be aware of the importance that assessors place on the viability, of the research programme and of its achievability within the timescale, which should be specified in the Plan of Action. The limit on this field is 2,500 words.
	Please read the scheme guidance notes for the full explanation of the expected scope of the call.
	We expect to commission a project with two interlinked parts, with each part supported by/closely coordinating with each other and with the Academy's policy teams. A particular focus should be the implications of the research for the role of government and the scientific community in enhancing (or undermining) public understanding, interest and engagement with science for policy. Part A we expect to be a comparative case study analysis. Applicants will be required to address the questions through comparative analysis of public engagement and debate on a science-based policy issue across 3 policy/case study areas. Part B we anticipate to be survey-based, including innovative approaches to survey design (or similar method) to analyse how members of the public engage with different kinds of science communication, including across the policy areas analysed above. The survey design would build on initial findings from Part A (e.g. basing scenarios on different types of science communication) and so would start at a later date.
	Methods for the case studies could include qualitative and quantitative methods including content and discourse analysis of how science is invoked in political debate, political communications and media coverage; and interviews with government officials and scientists to understand how they communicate the scientific issues underpinning decision-making in these areas.
	Awards-holders will be required to work closely and regularly with the Academy. These will include regular catch-up meetings with the provision of updates on progress made. The Academy will work with the awards also to develop an overarching set of recommendations across the studies supported.

Plan of Action*

The word limit here is 800 words max

Please indicate here a clear timetable for your research programme:

The Academy will look to utilise insights and findings from the projects in real-time, convening and supporting the awards to disseminate and share their outputs as the work develops. The successful research teams will be expected to engage actively with this additional translational support and expertise provided by the Academy. This will include participation in roundtable events or small workshops that the Academy will be convening as part of a parallel stream of work for this project and the research teams will be invited to attend these events as a means of enhancing their insights and research. However, these additional events are not intended as a substitute for the research itself and any methodologies being utilised.

Being able to meet the required deliverables and deadlines will be a key criterion:

1 September onwards – participate in any British Academy organised workshop, roundtable other events and forms of engagement. Information on any of these activities will be communicated by the British Academy team in advance

28 October 2022 - update on current progress and project timeline

5 December 2022- update on current progress and project timeline

7 February 2023- deliver interim report

8 September 2023 – deliver final outputs including the 15-20 page summary and the longer more detailed report

Planned Research Outputs*

The word limit here is 300 words.

Please indicate here what the expected output(s) from your research programme might be.

As appropriate, please indicate as follows: monograph, journal article(s), book chapter(s), digital resources, other (please specify).

The research team will need to deliver an interim report, the scope of which is to be agreed with the Academy but which must contain interim findings from across all three case studies, once the research has been awarded. The interim report must be delivered by 7 February 2023. It must be delivered in a format which summarises clearly any emerging findings which can be utilised by the Academy in an interim report due to CST by March 2023. The interim report will be peer reviewed by the Academy.

Research outputs from the two projects must include short, crisp summaries of the study of around 15-20 pages as well as a longer, more detailed report. In addition, we expect the applications to be able to produce further outputs such as policy briefings, summary presentations and community-focused material.

Deposit of Datasets*

Please provide details of how and where any electronic or digital data (including datasets) developed during the project will be stored, along with details on the appropriate methods of access. It is a condition of award that all data be freely accessible during, and beyond, the lifetime of the project. If it is anticipated that no electronic data of any sort will emanate from the award

	in question, then please state this in the field along with any justification for this. The word limit for this section is 500 words.
Other Participants	Under 'other participants' please give the names, appointments, and institutional affiliation of any other participants in the proposed research. Please also describe the contribution to the project to be made by other participants, citing any particular specialisms and expertise, under 'role of other participants'.
	If detail of Other Participants are not yet known, please use the blank text box below to provide indicative numbers and/or types of people who may be involved in the project.
	Projects may also include any number of specified 'Other Participants', who may, for example, be relevant stakeholders participating in networking or dissemination events, academic or policy advisers, practitioners, industry representatives, etc.
Added Value of Collaboration*	Under 'Added value of collaboration', please provide any comments you wish to make on the particular relevance, timeliness or other aspects of the collaboration, and the benefits envisaged.
Ethical Issues*	This field is mandatory and must be addressed by all applicants.
	Are there any special ethical issues arising from your proposal that are not covered by the relevant professional Code of Practice? Have you obtained, or will you obtain, ethical approval from your employing institution or other relevant authority?
	It is not expected that any special ethical issues will arise that are not already covered by relevant professional Codes of Practice. The normal expectation, therefore, will be that applicants should answer the pair of questions with the answers' no' and 'no'.
	If there are any special issues arising, but they have already been cleared by approval from a relevant authority, please answer 'yes' and 'yes'.
	If any special issues arise and approval has not yet been obtained, please answer 'yes' and 'no', and provide an explanation.
	If the answers are yes to special ethical issues and no to having obtained prior approval, please describe in the available space the non-standard ethical issues arising from your research and how you will address them.
Risk Management*	Researchers funded under this programme may choose to undertake fieldwork in the course of the research project. We expect an assessment of any risks entailed within the research project to be outlined within the proposal. For example, risks associated with delivery of the research, financial management, and oversight/governance.
	Research groups will be required to indicate if (and where) they intend to undertake research in the field. Applicants are required to demonstrate that the PI (and the PI's UK host organisation) understands the risk management implications and can monitor and manage the risks effectively. This aspect will be explicitly covered in the approval of any application by the appropriate authorities at the PI's UK host organisation, to confirm that the duty of care responsibility rests with the host organisation.
	The limit on this field is 750 words.

Other Relevant Information

Please use this space to provide details of any other relevant information.

PAGE 2: FINANCIAL DETAILS

Financial Details*

Eligible purposes for the funding include travel, fieldwork and related expenses; networking costs; and research assistance.

*Please refer to page 8 above for a full list of eligible costs. Please use the table to indicate the figures for such costs (in GBP) between the various headings.

Funding may be used to support:

the time of the PI and CO-Applicants

research assistance

travel, fieldwork and related expenses

networking costs

Institution costs in hosting and supporting the project, with award-holders expected to base the division of spend on the Full Economic Costing basis at 80% commissioning of a survey

The following items are not currently eligible for funding:

purchasing of assets

computer hardware including laptops, electronic notebooks, digital cameras, etc.

books and other permanent resources

the preparation of camera-ready copy, copy-editing, proof-reading, indexing, nor any other editorial task $\,$

subventions for direct production costs (printing, binding, distribution, marketing etc.) costs of publication in electronic media

travel and maintenance expenses for purposes such as lecture tours or to write up the results of research

Staff (Directly Incurred) Proposed Cost, is the salary of the PI and/or Co-Applicants only. Costs relating to a Research Assistant should be included under 'Directly Incurred Research Expenses' Proposed Cost.

Please enter ALL costs at 100% FEC, and give the overall 'Proposed Cost' total cost at 100% FEC as well. The Academy's contribution will not exceed 80%.

Please do not use '£' signs in the amount boxes.

Justification*

Please refer to the scheme guidance notes for full details of eligible costs.

Please provide details of funding related to the relevant fields set out in the financial details table

Applicants should prepare accurate costings for the proposed research expenses and should be particularly careful not to overestimate the resources required. Costs should be clearly itemised and justified in terms of the research programme for this application.

Value sought*	Please state the total amount of funding requested. Please ensure that this matches the total set out in the budget table.
Previous British Academy Applications*	Please use this space to provide details of any applications (successful or unsuccessful) that you have made to any British Academy funding calls.
Applications to Other Funding Bodies	Please tell us here if you have made any other applications in connection with this project? If so, with what results?

Page 3: PRIMARY APPLICANT DETAILS

Primary Applicant Contact Details*	Please take care to review and complete your personal details accurately. Errors in this section can cause difficulties in the processing of your application.
	You can update your personal details by checking 'my account' and selecting the link to 'my contact details' and 'my organisation' and ensuring the relevant details are up to date.
Employing Organisation* Please be aware that it is	Please use the search bar to find your home institution and add the organisation to your application. If your organisation is not available, then it may not be registered in our system. Before requesting the addition of a new organisation, please check that it is not affiliated with any organisation that is already
your responsibility to ensure that you complete	registered. If it needs to be added, please email us at policy@thebritishacademy.ac.uk.
your application in time for your Host Institution to process it and provide their approval by the closing date.	All applications must be approved by the Host organisation authorities e.g. research grants office, finance department, etc. The approving department will receive email notification once you have completed your application and submitted it. They will be asked to provide approval and then submit the application to the British Academy. It is strongly recommended that the applicant maintains an open dialogue with the approving department at the host institution as the British Academy cannot be held responsible for emails being caught in spam filters or not being received. It is recommended that you allow at least five working days for this process. Once the Host Institution has checked your application, they will contact you if any changes are required; please note that if changes need to be made, these will have to be done before the 6th July deadline as they cannot be done afterwards.
Primary Subject*	These grants will be awarded to lead researchers intending to work in any disciplines within the humanities, social sciences and the arts (the SHAPE subjects).
	Please select the subject areas and fields most relevant to your own research from those in the drop-down list. If your discipline is not listed, please choose the closest/most relevant in 'Primary Subject' (as this is a mandatory question).
Secondary Subject	If your application is more interdisciplinary, you may choose to indicate a secondary subject to which your application might also be relevant.
Employment Status*	Please confirm that your current position is either permanent or that your current employment will extend beyond the lifetime of the award.

Permanent Position*	Applicants must be in established academic posts that will continue at least beyond the end of the funded period of the grant. If your post is not permanent, please explain further in the personal statement how you meet the terms of eligibility for these grants.
Present Appointment, Department and Employing Institution*	Please give details of your current appointment. The Principal Investigator must be based at an eligible UK university or research institute and must be of postdoctoral (or above) or equivalent status. The Principal Investigator must either be in permanent position at the institution or have a fixed-term position for the duration of the award.
PhD Confirmation*/ Awarded Date	Early career applicants working towards a PhD or awaiting the outcome of their viva/submission of corrections are not eligible to apply. Please therefore confirm that you have a PhD by answering yes. If you are an established scholar with relevant equivalent experience, but no doctorate, please select 'no' and indicate in the 'personal statement' field why you should be eligible for consideration.
Personal Statement	This field is optional, but may be used, for example, in providing information regarding interruptions to an academic career or your equivalent research experience. The limit on this field is 150 words.
Statement of Qualifications*	Please give details of up to 4 qualifications in reverse chronological order.
CV Upload*	A brief CV should be uploaded here as a PDF file . This should be no more than 2 pages long. Any CV submitted which is over the 2-page limit will render your application ineligible for consideration. In order to comply with this 2-page limit, the applicant could omit, for example, conference presentations from their CV.
Publications	Please list up to 6 of your principal and/or relevant publications to date in reverse chronological order
Where did you hear of this scheme? *	It helps the British Academy to target appropriate resources towards the promotion of the scheme to know where an applicant hears about it. As appropriate, please state: BA website; BA literature; PhD supervisor; Institution Research Office; Twitter, colleague, etc.

Pages 4-5: CO-APPLICANT DETAILS

Co-Applicants*	Please indicate here if you wish to include any Co-Applicants. If you select yes, you will be able to provide details of up to two Co-Applicant(s). You must click 'no' on the individual Co-Applicant pages if you do not wish to select any Co-Applicants.
	Please note that all applications must have one lead applicant (PI). Please note that all correspondence is sent via the system only to the individual in whose name the application is submitted on the British Academy Flexi-Grant® system. The lead applicant is responsible for notifying any other parties. If there is more than one applicant, or the research involves other partners, please complete this section, and the relevant sections of the co-applicant personal details and co-applicant career summary. A 'co-applicant' is a joint director of the project with equal responsibility for the academic management of the project.

Postgraduate students are **not eligible** to apply for grant support from the Academy, and applicants (and co-applicants) are asked to confirm in the personal details section(s) that they are not currently working towards a PhD, nor awaiting the outcome of a *viva voce* examination, nor awaiting the acceptance of any corrections required by the examiners.

Please note that only UK-based co-applicants would be able to take over the leadership of a project should the PI not be able to continue in this role.

Other participants in a project, whose involvement does not equate to being a 'co-applicant', should be named in the relevant section ('Other Participants') in the Research Proposal section.

Present Appointment, Department, Employing Institution*

Your co-applicant(s) will need to register themselves on the British Academy Flexi-Grant® system before they are able to complete this section. If applicable, please enter all the details of your co-applicant(s) by clicking 'Contributors' tab on the Application's 'Summary' page. Please click on the 'Invite' button, enter the co-applicant's email address and then click 'Send Invitation'. If necessary, it is possible to enter additional co-applicants by repeating the instructions above. Once you have clicked on the 'Send Invitation' button, your co-applicant will be able to view your application and amend their section of the application.

Please give details of your current appointment. Co-Applicants must be of postdoctoral (or above) or equivalent status. The Co-Applicant must either be in a permanent position at the institution or have a fixed term position for the duration of the award.

CV Upload*

A brief CV should be uploaded here as a **PDF file**. This should be no more than 2 pages long. Any CV submitted which is over the 2-page limit will render your application ineligible for consideration. In order to comply with this 2-page limit, the applicant could omit, for example, conference presentations from their CV.

PAGE 6: EQUAL OPPORTUNITIES

Equal Opportunities

This section is optional. However, the British Academy would greatly appreciate it if you can complete the details to assist us in our diversity monitoring.

The British Academy is committed to its policy of Equal Opportunity in the provision of its grants and awards. Please help us to monitor the effectiveness of this policy by providing information concerning your age, gender, ethnic origin and disabilities (if any).

This information will be kept separately from the rest of your application and will not be seen by those involved in making decisions in the selection process, including referees and other contributors to your application.