

Understanding Chinese jade in a world context

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Abstract: For millennia, jade has been valued in many cultures in Chinese archaeology. The favoured types and sources of jade have changed over time, as has our knowledge of the stones themselves. One of the greatest problems in dealing with archaeological jades is the correct identification of the stones in order to trace their source and thereby understand the social relations underlying their patterns of procurement, production, and consumption. This paper examines the problems of identification and sourcing of Chinese archaeological jades from a worldwide point of view, dissecting terminological problems arising from mineralogy and rock geochemistry, and explicitly identifying the geological constraints on the formation of nephrite and jadeite. In particular, the role of plate tectonics in determining the occurrence of jade provides an overarching perspective on where in China jade sources might occur and how nephrite might be mined and distributed, together with its associated rocks and minerals. The latter associations are equally important to this jade sourcing endeavour.

Keywords: jade, geochemistry, mineralogy, plate tectonics, nephrite, jadeite, Chinese archaeology

INTRODUCTION

The first problem encountered in discussing ‘jade’ is defining what we mean by this term. It is commonly used to translate the Chinese word *yu* (玉), traditionally designating ‘beautiful stones’ worthy of fashioning into ritual objects and personal ornaments. The most highly prized stones have been scientifically identified as either the rock called ‘nephrite’, obtainable in China, or the mineral ‘jadeite’, which was imported into China after 1784 from Burma (Myanmar). However, a whole series of other kinds of rocks and minerals have come under the jade/*yu* umbrella through time, so that these words do not designate a specific kind of stone but more a ‘valuable

stone'. In this paper, 'jade' is used in its umbrella function, while nephrite and jadeite are designated 'true jades'.¹ The mineralogy of these will be discussed at length below, but it would be a mistake to dismiss the myriad types of rocks and minerals referenced by other terms such as 'false jades', 'hemi-jades', 'pseudo-jades', and jade imitations. Many such ornamental stones are included as jade/*yu*, and they often occur together with true jades in their geological setting. Thus, all of these false and true jades need to be dealt with together to understand their procurement, processing, and distribution.

This paper is only a first step in reaching that goal. It is divided into four parts: first, discussing the mineralogy of true jades and how they occur in the archaeological record; second, looking at the mainly metamorphic processes necessary for nephrite formation; third, pulling back to examine the large-scale tectonic processes that determine where nephrite will form; and finally, offering specific case studies of known nephrite and other jade sources in China together with mineralogical analyses of raw materials and artefacts. The remainder of this introduction will provide a necessarily brief overview of jade in East Asia now and then.

Jade generally in East Asia

In 2010, the highly valued 'mutton fat' jade (*yangzhiyu* 羊脂玉) was selling for more than gold, at US\$3,000 per ounce.² This put new pressures on the source of this milky white true jade in China's western Xinjiang province, where raw jade cobbles have been traditionally collected from the appropriately named White Jade River and Black Jade River—translations of Yurungkash and Karakash, respectively, in the local Uyghur language. The Hetian area (aka Yutian, Khotan), located along one branch of the Silk Road in western China, is the major historical source for a variety of jades, utilised in the Shang through Han periods (2nd millennium BC into the 1st millennium AD) and then again from the mid-18th century, when actual mining of jade in the mountains began.³ Between the Tang and Qing Dynasties (8th to 19th centuries), Manas jade from northern Xinjiang province was dominant.⁴

However, Hetian is only one among several sources of nephrite, the most common form of true jade. Jades from these sources were joined in late-19th-century China by Canadian nephrite; sources of nephrite in British Columbia were first discovered by Chinese gold rush miners in the 1850s, and some of the top-quality materials sent to

¹ Keverne (1995), Middleton & Ambers (2005: 403). Thus, it is important *not* to assume that jade = 'true jade'.

² Jacobs (2010).

³ Lin (2007).

⁴ Manas Uyghur spelling = Manasi Chinese spelling. Wang S. (2011: Table 3).

China were incorporated into the imperial collections.⁵ British Columbia is now the top producer of nephrite in the world.⁶ Importation of white and green nephrite from the Eastern Sayan Mountains of Siberia into China began in 2005; because these deposits are similar to those of Hetian, some of the Sayan white jade is valued as highly as local ‘mutton fat’ jade.⁷ Sayan jade was originally traded in through Heilongjiang province in the far northeast—at the opposite end of the country from Hetian—facilitated between China and Russia by the Nerchinsk Treaty of 1689. There is a tendency now to refer to any white mutton fat nephrite as Hetian jade, regardless of where it is from.⁸ Turning to Taiwan, Fengtian has always produced a considerable amount of nephrite, most of which was traded to the south and supplied Southeast Asia rather than Mainland China with precious stones.⁹ However, demand was such that post-war Taiwan imported much British Columbian nephrite—until the PRC (People’s Republic of China) markets opened up in 1986 and claimed most of the Canadian exports.¹⁰

The rarer form of the jade mineral, jadeite, was known in Japan as early as the 6th millennium BC among Jomon-period forager–horticulturalists,¹¹ The major sources are the Ōmi and Kotaki Rivers, in Itoigawa City, Niigata Prefecture, which carry jade cobbles to the edge of the Japan Sea where they could be collected in the rivers and on the ‘Jade Coast’—much like the riverbeds of Hetian. Itoigawa jade again became popular in the Late Yayoi through Kofun periods of state formation between the 3rd and 6th centuries AD. The jadeite curved bead became one of the three imperial insignias of the Japanese emperor, along with the bronze mirror and sword—still playing a role in modern accession ceremonies. Similar curved beads were popular in the Bronze Age of the Korean Peninsula, though most were made of amazonite. However, rulers of the Silla Kingdom in the 6th century AD procured Itoigawa jade from the Japanese Islands for curved-bead pendants on their gold crowns. Interestingly, Japanese jadeite was never exported farther west than the Korean Peninsula, and China had to wait until the 18th century to obtain a supply from Burma.

Burmese jade was discovered in the 13th century, and some trickled into China, but it was commercially imported only after 1784.¹² The Uyu (Uru) and Iwa River valleys and particularly Hpakan village form the centre of the Jade Tract, where jade

⁵Hsu *et al.* (2015).

⁶Iizuka (2012).

⁷Hsu *et al.* (2015).

⁸Anon (2006).

⁹Hung (2006, 2007).

¹⁰Hsu *et al.* (2015).

¹¹Bausch (2003).

¹²Hughes *et al.* (2000).

cobbles are dug out from alluvial gravels or conglomerate, or quarried from jade dikes in serpentinite.¹³ In particular, the high-chromium content jadeite that polished to ‘kingfisher’ translucence was a palace favourite in China and was accordingly often referred to as ‘imperial jade’.

Thus, although two sources of jadeite existed near the China Mainland, neither was utilised in the prehistoric or early historic periods of China. One of the most prolific sources of nephrite, on Taiwan, despite supplying jade to Pacific Southeast Asia,¹⁴ was seldom if ever a source for elite consumption on the China Mainland. The preferential selection and use of different kinds of jade were obviously conditioned by communication and transport networks, so that favoured types grew out of established patterns of interaction rather than exploratory searches for new sources. Even in modern times, the Chinese have been reluctant to import jades from new untried sources (as experienced by the Jade West Group in British Columbia).¹⁵

Jades in prehistoric and early dynastic cultures of China

In early state societies on the Korean Peninsula and Japanese Islands, jadeite curved beads served as symbols of status and authority. On the China Mainland, however, nephrite became imbued with far greater social and cosmological significance. Jade objects were valued in China for several reasons: to symbolise rank, power, morality, wealth, and immortality. In the 2nd century BC, Xu Shen¹⁶ wrote of the five virtues of jade in the *Shuo Wen*: its lustre symbolised ‘charity’, its sound ‘wisdom’, its hardness ‘courage’, its smoothness ‘equity’, and its translucence ‘rectitude’.¹⁷ The physical nature of the jades in part contributed to their valuation, such as hardness, toughness, colour, and feel. Enormous amounts have been written on the roles of jade in Chinese society and the attributes for which it was valued.¹⁸ Drawing on these works, only a selective view will be offered below to establish the chronological succession, though the generalisations belie tremendous variability in jade presence and use.

Neolithic China

In the Neolithic periods of the China Mainland, nephrite was initially used to make slit-earrings in the Xinglongwa (Chahai) culture of the northeast *ca.* 6000 BC; the succeeding Hongshan culture (4500–2800 BC) used jade to fashion a variety of shapes,

¹³ Hughes *et al.* (2000).

¹⁴ Hung *et al.* (2007).

¹⁵ Hsu *et al.* (2015).

¹⁶ East Asian names are given surname first, as traditional in those cultures.

¹⁷ Translations of virtue wordings from Wen & Jing (1992).

¹⁸ For example, Rawson (1995).

some understandable by analogy (eagles, turtles) and others entirely mysterious (flared cylinders or ‘cloud’ shapes). These early jades are characterised by specific jade-working techniques: string cutting with abrasives (using bamboo or leather strips or fibre cords) to cut slabs or notches; pieces of wood or bamboo plus abrasives to shape and polish; and edge bevelling.¹⁹ Because nephrite was more difficult to work than other silicate stones (which could be chipped then polished), the greater length of time spent in fashioning this into specific shapes made it more valuable. By Hongshan times, burials with jades are interpreted as the graves of emerging elites, and many of the objects are thought to be personal ornaments worn on the body or on clothing.²⁰ The burial context combined with the shape variety implies that the jades functioned as status markers and perhaps totems.²¹

The second great Neolithic jade culture to arise, overlapping with Hongshan, was Liangzhu (3300–2000 BC) in the Hangzhou Bay region. Jade-working began in the Beiyinyangying culture in Jiangsu province and then spread to south of Lake Tai at the emergence of the Liangzhu culture.²² Liangzhu jades characteristically take the shape of squared tubes with round bores (*cong*), flat perforated discs (*bi*), and flat axe shapes (*yue*); these are accompanied by a variety of small jades and beads. Jades were buried, often in great numbers, in graves of the elite, and there is some indication they were used in symbolic ranking of individuals.²³ The juxtaposition of square and circle in *cong* composition has often been anachronistically interpreted as the square earth and circular heaven motifs common in later Chinese thought. But since Liangzhu people left no documents recording their thinking, it is probably prudent to view these items as important ritual items and status markers in the increasingly hierarchical Late Neolithic without these cosmological overlays.²⁴

Several other regional Late Neolithic cultures made use of numerous ‘jade’ objects—especially for ceremonial blades—and human forms came to be depicted in nephrite.²⁵ In the 2nd millennium BC, ceremonial jades in the Sanxingdui culture of modern Sichuan province were deposited in non-funerary ritual contexts.²⁶ However, in the Shang (1500–1046 BC) and Early Zhou (1046–221 BC) cultures, the Neolithic tradition of grave goods continued, with blades, particularly of halberd shape, and animal shapes becoming the main burial jades. In the Shang period, there began a

¹⁹ Sun 2005, Sax *et al.* (2004, 2008).

²⁰ Rawson (1995: 32).

²¹ For example, Liu G. (2004: 39).

²² Zhang (2003, cited in Hung *et al.* 2006).

²³ Qin (201, Barnes 2015: 422–3).

²⁴ This goes for the tomb plans at Niuheliang, Hongshan culture, as well, where squares and circles have been employed separately or together as mound shapes or enclosures.

²⁵ See Rawson (1995: Figure 31).

²⁶ Sanxingdui Museum (2006).

tradition of including heirloom objects along with newly fashioned, often exotic, pieces from other regions among the grave goods; some of these stimulated new creations while others were reworked or re-used in new artefactual constructions, indicating their treasured status.²⁷ Indeed, the prevalence of simplistic animal shapes in Shang has been attributed to influence from local cultures.²⁸

Early dynastic China

Shang-style jades continued into the Early (Western) Zhou period but apparently without much enthusiasm or investment,²⁹ judging by their simple shapes and decoration. However, from the 9th century BC new forms and motifs appeared, some influenced by surrounding cultures. Incised surface decoration of composite and interlaced figures, particularly humans, birds, and dragons, became ubiquitous. Plaques, arcs, and beads were combined into elaborate pendants and masks worn by deceased aristocrats in their graves. Rawson speculates that these jades may have had some protective power beyond signifying rank, giving rise to the later jade suits.³⁰ Inscriptions on bronze vessels inform us that jades signifying rank were initially granted by a king to a noble, but as time passed, they were exchanged among nobles often as payments in kind.³¹

Eastern Zhou and Han witnessed the decline of some jade shapes and the resurgence of others: discs, rings, various blades, and arcs. The problematic *Zhou Li* text divides jades into ceremonial and ritual functions, but these do not seem to correspond to real assemblages. Numerous jades have been discovered archaeologically in ritual deposits, but if ‘ceremonial’ refers to jades used in ephemeral ceremonies and living displays, their roles are more difficult to assess archaeologically. Jades excavated from burials, including jade suits, continued their ornamental rank and status functions, but small-size or stone substitutes began to appear as well. Surface decoration of jades became heavily influenced by gold-working coming in from Central Asia, particularly the development of relief carving in addition to incising. Representational figures were replaced by abstract patterns ‘that reflected the light and made the jades gleam.’³² These latter foreign influences included belt and weapon fittings that were then reproduced in jade.

Rawson provides an illuminating explanation of the sudden decline of jade burial goods in Late Han, citing the rise of religious Daoism and the potential for an after-life outside the tomb—in paradise. Jades were no longer necessary to protect and

²⁷ Rawson (1995: 23–8).

²⁸ Rawson (1995: 43).

²⁹ This section is summarised from Rawson (1995: 45–53).

³⁰ Rawson (1995: 50).

³¹ Rawson (1995: 52–3).

³² Rawson (1995: 245).

serve the deceased for continued life within the tomb, and jade objects might even have been ground up for consumption following Daoist recipes.³³

This brings to a close a brief account of jade use in early China, hardly doing justice to the rich variability across space and time. We now turn to the focus of this paper: jade definitions, compositions, formation, and sources.

PART ONE: MINERALS AND ROCKS

As mentioned above, many different kinds of rocks and minerals are included under the umbrella terms *jade/yu*. What is the difference between a rock and a mineral? This is not such an idle question when dealing with jade, as misunderstandings begin here. Rocks are made of minerals, and minerals are composed of elements. The first section below compares the two types of true jades in terms of rock and mineral identity and characteristics; then we look at the archaeological occurrence of Chinese nephrite as well as other ornamental stones in prehistoric archaeological sites, ending with an introduction to non-nephrite rocks and minerals. The second section of Part One is devoted to a closer examination of nephrite in terms of its chemical composition, necessitating an excursion into the solid-solution chemistry of the constituent minerals. By the end of Part One, it should be fairly clear how we use the concept of jade in art and archaeological studies, and the review of true-jade chemistry will have informed us of important changes in mineralogical definitions and progress in compositional analysis since early work in this area.

Myriad meanings of ‘jade’

Two types of true jade

Researchers often state that there are two types of true jade: nephrite and jadeite, but these are not equivalent. The word ‘nephrite’ refers to a rock with the constituent minerals tremolite and/or actinolite and a specific fabric. Jadeite is a mineral that is a major constituent of the rock ‘jadeitite’. Thus, to be accurate, we should use the words nephrite and jadeitite as equivalent rock terms for ‘true jades’; these rocks contain other minerals and elements that are not represented in the ideal formulae of the jade minerals,³⁴ and indeed, there is another category, ‘hemi-jade’, that refers to mixtures of jade minerals with other minerals to the extent that the rock is no longer considered nephrite or jadeitite. Details are forthcoming below.

³³ Rawson (1995: 79).

³⁴ ‘Ideal’ in the sense of conforming to the simple chemical formula without many minor elements.

Table 1. Comparisons of the two ‘true jades’.

Rock	Nephrite	Jadeitite
Essential minerals	tremolite, actinolite	jadeite
Crystal habit	fibrous	non-fibrous
Texture	silky	blocky
Other properties	tougher than jadeitite	harder than nephrite
Mineral group	Calcium amphibole	Sodic pyroxene
Crystal structure	double-chain inosilicate	single-chain inosilicate
Composition	$\text{Ca}_2(\text{Mg,Fe})_5\text{Si}_8\text{O}_{22}(\text{OH})_2$	$\text{NaAlSi}_2\text{O}_6$
Water content	hydrous	anhydrous
Density	2.9–3.01 g/cm ³	3.3–3.6 g/cm ³
Hardness (Mohs scale)	6–6.5	6–7

Sources: compiled from Deer *et al.* (1997a, 1997b), Harlow *et al.* (2014), Desautels (1986).

Nephrite and jadeitite are both silicate rocks (containing SiO_2), but their chemical compositions and constituent minerals are very different, as shown in Table 1: nephrite contains substantial amounts of calcium amphibole and hence has large amounts of calcium–Ca and substantial but variable amounts of magnesium–Mg and iron–Fe, whereas jadeitite contains jadeite and hence has large amounts of aluminium–Al and sodium–Na. The chemical formulae given here for these minerals are idealised and do not include minor and trace elements that can determine colour; similarly, the constituent minerals of nephrite and jadeitite do not include constituent minor and trace minerals.

The two rocks also have very different fabric. Nephrite has a silky feel, and its matted, fibrous (often called ‘felted’) nature—the interlocking of short fibres—makes it tough, i.e., difficult to break. Felting is produced in two stages: first is the crystallisation of the minerals, followed by mechanical processes which twist or intertwine the crystals under relatively high temperature (400–500°C) but low pressure.³⁵ The crystals may be oriented in random, radial, or unidirectional patterns, each producing a specific nephritic ‘material’. Tremolite/actinolite without felting is not nephrite. Jadeite is harder than amphibole, indicated by its Mohs hardness,³⁶ but jadeitite usually has interlocking fan-shaped or blocky crystals rather than the fibrous structure that is typical of nephrite.

The greatest difference between true jades and other rocks and minerals, however, is not their appearance—as there are many beautiful stones—but the difficulty of working true jades. Not just because they are hard—quartz is even harder at

³⁵ Campbell *et al.* (2008).

³⁶ On the hardness scale developed by Frederick Mohs in 1822 see Minsocam (2016).

Mohs 7—but because they cannot easily be formed by chipping and require specific time-consuming and laborious fashioning by abrasion.³⁷ This investment increases their value proportionally; through time, this added value could only be afforded by the elite.

In China, nephrite implements are known from the Late Palaeolithic,³⁸ but by Neolithic times, nephrite ornaments were dominant, though the types and quantities of non-nephrite ornaments vary with time-period and region. Nevertheless, possession of true jades correlates with differentiation of social status through time, the ultimate status symbol in recent history being *feicui* 翡翠 (emerald green) ‘imperial jade’ from Burma. However, since Burmese jadeitites from Myanmar were imported too late to have an impact on archaeological assemblages, and because Japanese jadeitites from Itoigawa were never imported into China, any further mention of jadeitite will be in the manner of comparative material.

Archaeological ‘jades’

As early as 1989, Wen Guang, of the Geological Research Institute in Beijing, lamented that the traditional Chinese word for jade (*yu* 玉), originally confined to tremolite, had been broadened to the extent that it was meaningless.³⁹ We can also say the same for the English term ‘jade’, as it may refer to several ornamental stones. From the late 1980s to early 1990s, Wen Guang and Jing Zhichun singly and together published a series of geoarchaeological articles on jade compositions. This body of work serves as the springboard for the present study. Wen and Jing emphasised that the identity of the stone cannot be made precisely without investigation of its chemical composition *and* microstructure. Their 1992 article analysed the compositions of 268 archaeological jades from Chinese Neolithic sites, summarised in Table 2.⁴⁰ They found that stones included as jade (*yu*) in the Neolithic archaeological reports consisted of 21 different rocks and/or minerals in addition to true jade (nephrite).

Wen and Jing concluded from these data that true jade was dominant in the Neolithic samples: 204 of 268 pieces (76 per cent as calculated here). Due to this dominance, they proposed that Early Neolithic peoples in northeastern China (from Xinle and Chahai sites, now included in the Xinglongwa culture), were already able to distinguish true jade from other stones, as their ornaments are 100 per cent nephrite.⁴¹ However, the breakdown of some of the site contents showed that among the

³⁷ Sax *et al.* (2004, 2008).

³⁸ Fu (2003), Tang C. (2008).

³⁹ Wen (1989).

⁴⁰ Wen & Jing (1992).

⁴¹ Though recently other ornamental stones, chalcedony and talc, have been reported from Xinlongwa culture sites in this area (Tang C. 2008: 265).

Table 2. Collation of Neolithic ‘jade’ artefacts by culture, period, and geography. Percentages indicate what ‘kinds’ of jade were found at various Neolithic sites.

Years BC	Northeast cultures	East coast and inland cultures	True jade (nephrite)	Hemi-jade	Pseudo-jade	Total
>6000	Chahai (Xinglongwa)		8 100%			8
>4000		Hemudu			5 100%	5
		Majiabang			7 100%	7
		Beixin			2 100%	2
	Xinle		15 100%			15
>3000	Hongshan		40 69%	2 3%	16 28%	58
		Songze	8 89%		1 11%	9
>2000		Liangzhu	102 86%	1 <1%	15 13%	118
		Dawenkou	1 100%			1
	Post-Hongshan		5 56%		4 44%	9
		Longshan	25 69%	4 11%	7 19%	36
Totals			204 76%	7 3%	57 21%	268

Source: Based on Wen & Jing (1992: Table 1).

149 nephrite samples, 128 (86 per cent) were tremolite, 16 (11 per cent) were actinolite, and 5 were tremolite–actinolite. In a second study of 500 nephrite artefacts,⁴² percentages of tremolite alone varied upward from 65 per cent, the remainder being actinolite.

Non-nephrite ‘false jades’, ‘hemi-jades’, and ‘semi-nephrite’

Wen and Jing further distinguished two kinds of non-nephrite stones used as substitutes for true jades in later cultures, as noted in Table 2: ‘hemi-jades’ and ‘pseudo-jades’:

Pseudo-jades, they said, ‘may be found associated with nephrite in natural deposits, which might cause ancient people to treat these jade-like minerals as nephrite’.⁴³ The five most common were antigorite, sericite, quartz, talc, and calcite;⁴⁴ others named were albite, amazonite, dickite, diaspore, enstatite, fluorite, kaolinite, lizardite, magnesite, muscovite, and pyrophyllite.⁴⁵

Hemi-jade is a term they introduced ‘to refer to the mixture of nephrite and associated minerals’. Such associated minerals in hemi-jades are yet again different from ‘auxiliary minerals (impurities) such as magnetite and chromite’, which often give jades their varying colours.⁴⁶ Hemi-jades included albite + tremolite, calcite +

⁴² Wen & Jing (1996).

⁴³ Wen & Jing (1992: 258).

⁴⁴ Wen & Jing (1996: 67).

⁴⁵ Wen & Jing (1992).

⁴⁶ Wen & Jing (1992: 255).

tremolite, talc + tremolite, antigorite + tremolite, amazonite + tremolite, and tremolite + prehnite.⁴⁷ The common theme here is ‘tremolite’, which is the dominant mineral in the sample of archaeological jades analysed by Wen and Jing.

Another term, ‘*semi-nephrite*’, has occasionally been used in the past for nephrites that span the tremolite/actinolite border;⁴⁸ but that term now denotes ‘either massive amphibole, which lacks the felted cohesive texture, or nephritic amphibole enclosing coarser crystals’.⁴⁹ In other words, ‘semi-nephrite’ has the same chemical composition as tremolite–actinolite but not the felted texture.

These early results can be contrasted with recent studies, reviewed by Wang Rong in 2011.⁵⁰ Wang openly acknowledges that ancient jades in China include many ‘types’ of jade: amphibole jade (i.e., nephrite, including both tremolite and actinolite), serpentine jade, turquoise jade, agate jade, anorthite jade, zoisite jade, and others.⁵¹ His study covers a wider range of time periods and geographical areas, from the Neolithic to the Han period (206 BC–AD 220) across northern and eastern China. Of note are the artefacts made of serpentine and agate in collections from early Neolithic sites on the East Coast, with nephrite varying from 29 per cent to 54 per cent. Thus, it is clear that nephrite did not dominate in all Mainland Neolithic cultures. In the succeeding Liangzhu culture, nephrite rose to 93 per cent, but in the later periods and peripheral regions, nephrite decreased to between 66 per cent and 73 per cent.⁵² These figures were culled from the existing literature and so might change with rigorous sampling.

Examining the ornamental stones considered by archaeologists to be jades (*yu*), Wen and Jing count 20 different rocks and minerals,⁵³ whereas Wang counts over 30. This corresponds to other situations around the world. New Zealand greenstones, treasured by the Maori, include nephrite (*pounamu*) and bowenite (a hard variety of antigorite) called *tangiwai*.⁵⁴ In China, Soochow (Suzhou) jade is bowenite;⁵⁵ it has been carved to make some of the most exquisite jade sculptures in historical and contemporary China. Two of the most common jade types today, Lantian jade and Xiuyan jade, are primarily the serpentine mineral antigorite,⁵⁶ while lizardite, another

⁴⁷ Wen & Jing (1992, 1996: 64).

⁴⁸ Iizuka (2012).

⁴⁹ Harlow & Sorenson (2005: 120, 125).

⁵⁰ See Table 2A online at <https://docs.google.com/document/d/12zIX8d5eg6LVAFn575jP3MqFDbKJlAYdJkXl1exAEQ/edit>

⁵¹ Wang, R. (2011: 674).

⁵² Numbers of artefacts were reported; the percentages are my calculations.

⁵³ Wen & Jing (1996: 67), Wang, R. (2011).

⁵⁴ Tennant *et al.* (2005).

⁵⁵ Desautels (1986: 9).

⁵⁶ Wang Y. *et al.* (2012) (but they note this might not apply to ancient Lantian jade); Liu Z. *et al.* (2009), Liang *et al.* (2012).

serpentine mineral, has recently been identified for the first time in Lantian jade.⁵⁷ Some modern Lantian jade is also made of serpentinitised marble, and a similar deposit was discovered in 2013 at Pizhou City, Jiangsu Province. This is now being called ‘Lantian jade’ even though it is not from Lantian County. These non-nephrites are different from Lantian tremolite jade, to be discussed below. Jingbai jade is actually white agate,⁵⁸ and Dushan jade (Nanyang jade) is an aggregate of plagioclase, zoisite and hornblende.⁵⁹ These identities in themselves do not totally devalue these materials: Cheng *et al.* state that stones other than nephrite ‘such as serpentine, Dushan jade, Jingbai jade, even quartz, were also artistically valued’,⁶⁰ while Wang and Li state that ‘the five most important materials of Chinese ancient jade are amphibole, serpentine, turquoise, agate/chalcedony, and anorthite–zoisite (Dushan jade).’⁶¹ Wang and Zhang list amphibole, serpentine, turquoise, and quartz varieties as the four main materials of ancient Chinese jade.⁶²

Many minerals and rocks are currently marketed as jade: both Amazon jade and Colorado jade are amazonite (microcline); others are Andes jade and Korean jade (serpentine), Australian jade (chrysoprase), Californite (massive vesuvianite); Guatamalan *jade negro* (omphacite–taramite), Indian and Mixian jade (aventurine quartz), Korean jade (bowenite), Lushan Mountain jade (marble), Malaysian jade (quartz), Mexican and Shaanxi Moyu jade (calcite), New Caledonian jade (anorthite), Ophite or New jade (serpentine), Oregon or Swiss jade (green chalcedony), Pounamu (New Zealand greenstones), Rainbow jade *jade lila* (jadeite–pumpellyite rock), Shetaicui jade (aventurine/dolomite/quartzite), Tangiwai (bowenite), Transvaal jade (green hydrogrossular garnet), Xiuyu jade (serpentine), prehnite, grossular garnet, agate, jasper, serpentinite, albitite, meta-basite, quartz schist ... the list could go on. In contrast to Wen and Jing’s term ‘pseudo-jade’, these have also been called ‘false jades’ or ‘imitation jades’, in addition to modern plastic and glass varieties termed ‘jade simulants’.⁶³

The lesson learned is that much of what is termed jade is not true jade. If it is artistically pleasing, then it has value. But if we want to know the sourcing and distribution of jades in ancient times—or if we want to be sure that a gem dealer is not

⁵⁷ Wang Y. *et al.* (2012).

⁵⁸ Cheng *et al.* (2004: 31, Table 1).

⁵⁹ Xiao Q. *et al.* (2009), Wang R. & Li (2011), Zhang G. *et al.* (1989); sometimes called the rock ‘saussurite’ (Wen & Jing 1996).

⁶⁰ Cheng *et al.* (2004).

⁶¹ Wang R. & Li (2011: abstract).

⁶² Wang R. & Zhang (2010).

⁶³ For an interestingly long list, see Desautels (1986: Chapter 2), Walker (1991), Middleton & Freestone (1995), *Gems & Gemology* (1980–2010 index), Dept of Geological Sciences (2009). See <http://madcatwoman-enterprises.tumblr.com/post/74776783593/jade-as-there-are-a-very-large-variety-of>.

overcharging us!—then it is incumbent upon us to know what kind of rock or mineral we are dealing with. The terms ‘pseudo-jade’, ‘false jade’, and ‘imitations’ are negative in nature and likely to invite dismissal of these other rocks and minerals, but in fact, these materials can be extremely illuminating as to source areas and mining technologies. Many were exquisitely worked and included as *yu*. For two reasons, they should be considered together with variations in quality of the true jades: (1) they will inform on sources of raw materials, and (2) their relative distributions can be used to monitor the development of hierarchical social relations and trade routes in the archaeological record.

The problem with nephrite

Nephrite: rock and minerals

Minerals and mineral names are approved by the Commission on New Minerals, Nomenclature and Classification of the IMA (International Mineralogical Association). The IMA only approves names for valid mineral species,⁶⁴ not rocks, but it can redefine existing minerals and declassify those previously accepted but now discredited on chemical or crystallographic grounds. IMA approval of a mineral name is a recommendation that is followed by most publishers, and ideally, an unapproved name would be tagged in quotation marks. Some of these latter examples might include minerals still undergoing scientific examination. Varieties of minerals (i.e. sub-species or non-species) are not dealt with by the IMA, though many discredited minerals enter the realm of varieties.

‘Nephrite’ was once considered a mineral but was subsequently discredited as a valid mineral species;⁶⁵ nevertheless, it is often used as a synonym of the mineral tremolite—in the sense that mica is a synonym or common name for a muscovite mineral and rock salt is a synonym or common name for the mineral halite.⁶⁶ However, as we shall see below, nephrite is not a mineral at all: it is a rock.

Researchers in the field describe nephrite in terms of the tremolite–actinolite series.⁶⁷ The ‘series’ here refers to solid-solution chemistry, where a mineral or minerals have a range of chemical compositions compatible with their crystal structure. The assignment of nephrite to a tremolite–actinolite series implies that actinolite is an end-member of the series, which is a mistake.⁶⁸ Actinolite is actually the middle

⁶⁴ 5291 minerals as of September 2017 (IMA-CMNNM 2017b).

⁶⁵ Nickel & Nichols (2004).

⁶⁶ Nickel & Nichols (1991: Appendix C “Synonymy of nonspecies names”).

⁶⁷ Wen & Jing (1992: 261), Wen (1994), Tsien (1996), Wen & Jing (1996), Harlow & Sorensen (2005).

⁶⁸ Iizuka *et al.* (2007: 14, “actinolite, the iron-rich end-member of the calcium amphiboles”). Furthermore, there are other calc–amphibole solid-solution series: e.g., with anthophyllite and cummingtonite–grunerite as end-members (Wittke 2009).

member of the series ‘tremolite–actinolite–ferro-actinolite’; this series has since been renamed ‘tremolite–ferro-actinolite’, a new designation whereby only the end-members of the solid solution are given in binary nomenclature.⁶⁹ Consequently, Harlow *et al.* revised their definition of nephrite as ‘a rock composed fundamentally of tremolite–ferro-actinolite’.⁷⁰ This properly specifies the series, but it obscures the fact that there are currently no known nephrite artefacts with ferro-actinolite as the essential mineral, though it is still a possibility.⁷¹ Since the intermediate member, actinolite, is still a valid mineral name, the series will be referred to here tremolite–actinolite–ferro-actinolite (abbreviated here as TAF-a), with the understanding that most nephrite is formed from tremolite–actinolite.

Nephrite can thus be composed of mainly tremolite or actinolite or both. Nephrite colour is often used to assign a piece to one or the other mineral, but colour is not a good indicator of mineral content or composition, as we will see below. Moreover, in addition to these ‘essential minerals’, there may be minor accessory minerals present, even when the nephrite is relatively pure, as listed in Table 3. Consequently, nephrite is best considered as a rock consisting of several minerals and various other elements. The latter are both often regarded as ‘impurities’ but are better regarded as ‘bonus ingredients’, as they may be very informative. The most important elements that determine whether a calcium amphibole is tremolite or actinolite are iron and magnesium. The way these behave can be seen when examining the TAF-a solid-solution series.

⁶⁹ George Harlow (pers. comm. 26 November 2016), conforming to Hawthorne *et al.* (2012). Unfortunately Harlow *et al.* (2014: 340) state that ‘actinolite is no longer a valid mineral species’ and that ‘tremolite [now] spans the compositions formerly termed actinolite’ (my insertion); they refer to Hawthorne *et al.* 2013 on this issue, but the publication in question is Hawthorne *et al.* (2012), which does not contain these revisions, and Hawthorne himself says that the statement above is not true (Frank Hawthorne pers. comm. by email 14 December 2016); actinolite is a valid mineral species as given in the September 2017 IMA list, as redefined in 2012.

⁷⁰ Harlow *et al.* (2014: 340).

⁷¹ George Harlow, Yoshiyuki Iizuka, and Frank Hawthorne (pers. comm. by email, 26 November 2016 and 3 January 2017). According to Pat Daly (pers. comm 16 February 2017), the attribution of a dark-coloured *zhang* blade to ferro-actinolite (Casadio *et al.* 2007) is problematic in that EDXRF (Energy Dispersive X-ray Fluorescence) must avoid the preferred orientation of crystals and no accommodation was mentioned; also no chemical analysis was done to assess the blade composition. The authors Casadio and Douglas agree that the results might be misleading (by email 27 February 2017 and 7 March 2017).

Table 3. Composition analysis of a ‘nearly ideal tremolite’ with a nominal formula of $\text{Ca}_2\text{Mg}_3\text{Si}_8\text{O}_{22}(\text{OH})_2$ from Susa Valley, Italy. Major, minor, and trace elements, given as oxides where appropriate, are demarcated by solid horizontal lines and ordered by declining abundance. The minor and trace elements indicate ‘impurities’ even in a ‘nearly ideal tremolite’ and are not represented in the chemical formula.

Oxide	Element	%wt
Major elements >1%		= 97.69%
SiO_2	silicon	58.51
MgO	magnesium	23.74
CaO	calcium	13.29
H_2O	hydrogen	2.15
Minor elements 0.1%–1%		= 1.52%
Fe^{+2}O	iron(II)	0.99
$\text{Fe}^{+3}_2\text{O}_3$	iron(III)	0.20
Na_2O	sodium	0.20
MnO	manganese	0.13
Trace elements <0.1%		= 0.22%
F	fluorine	0.07
Al_2O_3	aluminium	0.05
K_2O	potassium	0.04
Cl	chlorine	0.03
TiO_2	titanium	0.02
TOTAL		99.43%

Sources: Data compiled from Ballirano *et al.* (2008); definitions of major, minor, and trace elements taken from Blake (2001: 87).

Nephrite incorporating solid-solution minerals

‘Jade is a complex material and a comprehensive account of its composition, properties and occurrence would require a mineralogical textbook.’⁷²

This paper does not purport to be a textbook, but considerable background information in geochemistry, metamorphic petrology, and plate tectonics is critical to understanding the genesis and nature of jade in China. I will try to make this excursion into chemical issues brief but useful, while acknowledging my shallow expertise and the challenges of constantly changing understanding and interpretation.

The TAF-a series belongs to calcium amphibole minerals, as seen in Table 4,⁷³ based on calcium–Ca, hence the ‘calcium’, and their crystal structure ($C2/m$). The IMA-approved minerals of the TAF-a series have a silicate component Si_8O_{22} , and they contain a hydroxide $(\text{OH})_2$ component. Elements that distinguish them are the relative proportions of magnesium–Mg and ferrous iron Fe^{2+} also written as iron(II). In Table 4, the end-member formula for tremolite excludes all iron; however, this is

⁷² Middleton & Freestone (1995: 413).

⁷³ F-a is properly abbreviated as Fe2-Act (Siivola & Schmid 2007).

Table 4. TAF-a mineral species and variants.

Tremolite–actinolite–ferro-actinolite series ^a		$\text{Ca}_2(\text{Mg,Fe})_5\text{Si}_8\text{O}_{22}(\text{OH,F,Cl})_2$
Approved mineral species:		
Tremolite ^b		
calcium magnesium silicate hydroxide	end-member formula:	$\square \text{Ca}_2\text{Mg}_5\text{Si}_8\text{O}_{22}(\text{OH})_2$
Actinolite		
calcium magnesium iron(II) silicate hydroxide	compositional range:	$\square \text{Ca}_2(\text{Mg}_{4.5-2.5}\text{Fe}_{0.5-2.5})\text{Si}_8\text{O}_{22}(\text{OH})_2$
Ferro-actinolite ^b		
calcium iron(II) silicate hydroxide	end-member formula:	$\square \text{Ca}_2(\text{Fe}^{2+})_5\text{Si}_8\text{O}_{22}(\text{OH})_2$
fluoro-tremolite ^f		$\square \text{Ca}_2\text{Mg}_5\text{Si}_8\text{O}_{22}\text{F}_2$
Other variants of tremolite in the literature:		
parvo-manganotremolite ^c		$\square \{ \text{CaMn}^{2+} \} \{ \text{Mg}_5 \} (\text{Si}_8\text{O}_{22}) (\text{OH})_2$
soda tremolite ^d		$\text{Na}_2\text{Ca}(\text{Mg,Fe})_5(\text{Si}_8\text{O}_{22})(\text{OH})_2$
chrome–tremolite ^d		$\text{Ca}_2(\text{Mg,Cr})_5\text{Si}_8\text{O}_{22}(\text{OH})_2$
mangan–tremolite ^c		$\text{Ca}_2(\text{Mg,Mn})_5\text{Si}_8\text{O}_{22}(\text{OH})_2$
tremolite–glaucophane ^d		$\text{Na}_2\text{Ca}(\text{Mg,Fe})_5(\text{Si}_8\text{O}_{22})(\text{OH})_2$

Note: The \square notation allows for other minor elements in the chemical formula.

Source: Compiled from ^a Deer *et al.* (1997b), ^b Hawthorne *et al.* (2012), ^c Mindat (n.d.), ^d Nickel & Nichols (2004), ^e Nickel & Nichols (2009), ^f IMA–CNMNC (2017).

unobtainable in Nature, and the compositional range given in Table 5 includes iron. The same for ferro-actinolite: the idealised end-member formula excludes all magnesium, but the compositional range allows a ratio of up to 50/50 Mg/Fe. There are other elements (e.g., chromium–Cr, manganese–Mn, aluminium–Al, and sodium–Na) that might be incorporated into the chemical formulae, as seen in Table 4 in the compositions of some tremolite variety samples.

In reality, the composition of tremolite in terms of Mg/Fe distribution is best seen in a truncated ternary diagram of the solid-solution series, as shown in Figure 1.⁷⁴ This series exhibits continuous chemical changes from end-member tremolite (on the left), through intermediate-member actinolite (in the middle), to end-member ferro-actinolite (on the right). The changes involve reciprocal amounts of magnesium and iron; because the ions of these elements are of similar sizes and valence, they can substitute for each other in the chemical formula, varying antipathetically across the series. Although the boundaries between these minerals are ultimately arbitrary—and varying definitions are possible—the IMA–CNMNC recommends thresholds in the magnesium and iron ratios of $\text{Mg}/(\text{Mg} + \text{Fe}^{2+})$ to distinguish them. Table 5 shows these divisions and the chemical compositions (and colours) that are included in these ranges.

⁷⁴ Truncated because there are no calcium amphiboles with constitutions in the upper part of the triangle.

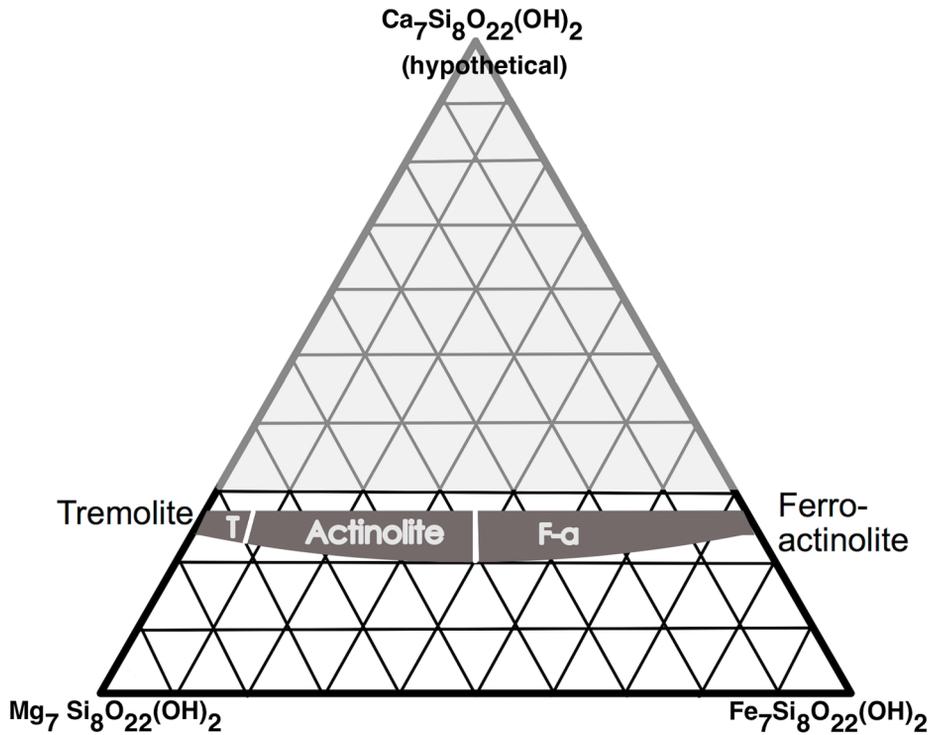


Figure 1. Ternary composition diagram for the tremolite–actinolite–ferro-actinolite continuous solid-solution series (compiled from Wittke 2009: Appendix A and Nelson 2011). The three major elements (calcium, magnesium, and iron) are at 100 per cent in the triangle corners, comprising the minerals indicated by the formula; each parallel line moving away from the corner signals a 10 per cent decrease in the specified element. The divisions between the TAF-a minerals, as calculated by the ratio $Mg/(Mg + Fe^{2+})$, are shown as white lines through the solid grey area. There are no known amphiboles that form at the top end of the triangle, hence its fadeout and the hypothetical composition.

Table 5. Distinguishing tremolite, actinolite, and ferro-actinolite in the solid solution.

	$Mg/(Mg + Fe^{2+})$	Composition range	Colour*
Tremolite	≥ 0.90	$\square Ca_2Mg_5Si_8O_{22}(OH)_2$ to $\square Ca_2Mg_{4.5}Fe^{2+}_{0.5}Si_8O_{22}(OH)_2$	colourless to grey
Actinolite	0.9–0.5	$\square Ca_2Mg_{<4.5}Fe^{2+}_{>0.5}Si_8O_{22}(OH)_2$ to $\square Ca_2Mg_{2.5}Fe^{2+}_{2.5}Si_8O_{22}(OH)_2$	pale green to dark green
Ferro-actinolite	< 0.5	$\square Ca_2Mg_{<2.5}Fe^{2+}_{>2.5}Si_8O_{22}(OH)_2$ to $\square Ca_2Fe^{2+}_5Si_8O_{22}(OH)_2$	dark green to black

* Colour designations are arbitrary and subjective.

Source: Compiled from Deer *et al.* (1997b: 136–8), Hawthorne *et al.* (2012: 2036).

By these definitions, tremolite accounts for a very small part of the series at the far left of the truncated ternary diagram, but its composition may include up to about 10 per cent iron in the Mg–Fe total (Fe 0.5, Mg 4.5).⁷⁵ The relative lack of iron in the tremolite end-member makes it colourless; mutton-fat jade (white) would thus be close to this boundary. However, actinolite can have up to 50 per cent Fe (Fe 2.5, Mg 2.5). The increasing amount of iron and decreasing amount of magnesium produce hues ranging from light to dark green—and most nephrites are so coloured. Similar colours are produced by iron in serpentinite,⁷⁶ so colour is not a clue to rock identity (nephrite *vs* serpentinite) nor to mineral identity (tremolite, actinolite, or serpentine).

Understanding the solid-solution series as a continuum partly accounts for the occurrence of the colour range of Hetian jades; for example, Liu *et al.* have documented tremolite in white nephrite with ratios of Mg/(Mg + Fe²⁺) of 0.98–1.00, tremolite in green nephrite with ratios of 0.93–0.99, and actinolite in black nephrite with ratios of 0.63–0.90.⁷⁷ Three jades analysed from a Liangzhu tomb proved to have Mg/(Mg + Fe²⁺) values of 0.892, 0.871, and 0.869; these are all ostensibly in the actinolite range, but the researchers designate each object as tremolite–actinolite.⁷⁸ Other minerals such as graphite or chromite also influence the colour.

A single ore source can produce nephrites of different mineral compositions. The chemical compositions of Taiwanese jade objects from the Fengtian nephrite-source cluster across the tremolite–actinolite boundary, as shown in Figure 2. The term ‘semi-nephrite’ has occasionally been used for this combination,⁷⁹ but as noted above, it now more commonly denotes tremolite–actinolite without the felted crystal habit (and therefore not nephrite jade).

As the graphs in Figure 2 show, chemical characterisation serves to identify the relative amounts of magnesium *vs* iron that correlate with colour (disregarding other causes), but more importantly, they can help identify sources of jade materials. Though ‘nephrite’ is not a valid mineral name, it is a very useful term that designates a specific felted rock fabric; but to be wholly meaningful, it must be made clear what minerals within the TAF-a series are included in the writer’s definition of nephrite.

Summary

Table 6 encapsulates what we have learned to be important factors in identifying nephrite jade. Those TAF-a minerals that are not felted are not nephrite. All known nephrites are composed of varying amounts of tremolite and/or actinolite. The division

⁷⁵ Which is only 1 per cent of the total (Fe 0.5 atoms, 41 atoms) in the chemical formula.

⁷⁶ Chen Q. *et al.* (2014).

⁷⁷ Liu Z. *et al.* (2011a: Tables 2, 3, 4); see also Shi M. *et al.* (2015).

⁷⁸ Gan *et al.* (2010).

⁷⁹ Iizuka (2012).

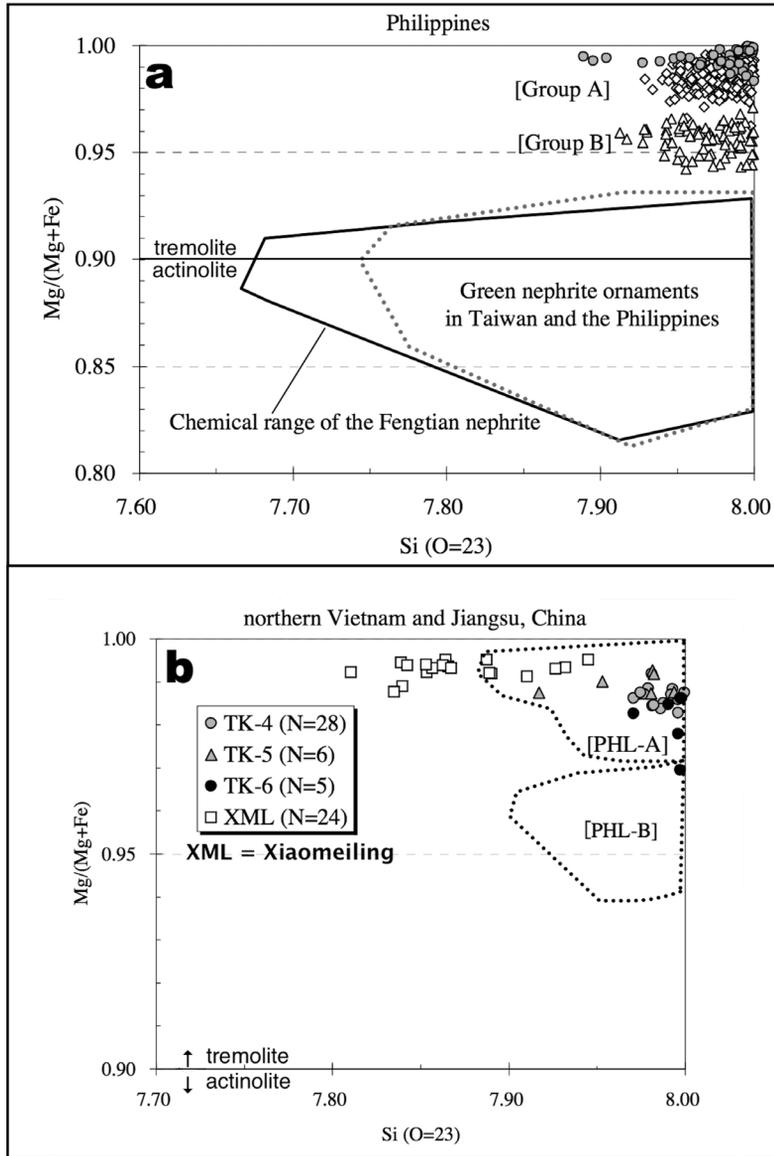


Figure 2. Comparisons of white and green nephrite chemistries in eastern Asia (after Hung *et al.* 2006: Figure 20.9). The ratio Mg/(Mg + Fe) is plotted against the number of silicon atoms per formula unit; the variation in silica is caused by some substitutions of Al, Fe³⁺, or Cr in the crystal lattice.

(a) illustrates the range of Fengtian nephrite as encompassing the Mg/(Mg + Fe) = 0.90 border between tremolite and actinolite; most green nephrite objects from Southeast Asia derive from this source. White (Group A) and white–green–brown (Group B) nephrites from the Philippines are clearly separated chemically.

(b) illustrates Groups A and B in comparison with white nephrites from northern Vietnam (circles, triangles) and Jiangsu (squares). The latter, raw jade sourced from the Xiaomeiling mines, clearly has a different composition than most of the former, believed to be from a local source in Vietnam.

Table 6. Summary chart of nephrite definitions.

Nephrite rock = 95% amphibole (TAF-a) composed variously of the following minerals:				
Essential mineral	Mg/(Mg + Fe ²⁺)	Texture		Attribution
Tremolite	≥0.90	felted	→	nephrite
		not felted	→	not nephrite
Actinolite	0.9–0.5	felted	→	nephrite
		not felted	→	not nephrite
Ferro-actinolite	<0.5	felted	→	rare
		not felted	→	not nephrite

Source: from text above.

between these is arbitrary, but the proportions are important for characterising true jades. Bersani *et al.* stated that felted ferro-actinolite is ‘rare’,⁸⁰ and ferro-actinolite jade objects have not yet been clearly documented.

Another final consideration in determining a true jade is how much of an artefact or sample needs to be nephrite when mixed with other minerals. Wen and Jing did not specify percentages but clearly recognised mixtures as hemi-jade. Harlow *et al.* note that greater than 95 per cent amphibole is ‘typical’ for nephrites; but for Fengtian jades distributed throughout Southeast Asia, a 90 per cent threshold is used to accommodate additional constituents.⁸¹ The presence of other minerals in nephrite, making up the remaining 5–10 per cent, will be considered below.

Finally, I have tried to make the distinction clear between the different kinds of ‘jade’—not only the different kinds of ‘true jades’ but of other rocks and minerals which are often termed jade. Thus, the word ‘jade’ when it occurs alone must always be read with care, since it does not always equate with ‘true jade’.

PART TWO: FROM PARENT ROCKS TO HOST ROCKS

Throughout the literature, one reads that *jadeite is formed in serpentinite while nephrite can be formed from either serpentinite or dolomitic rocks.*⁸² Part Two addresses these hierarchical relations: first by discussing how to distinguish nephrites formed from different host rocks, and then by examining the formation processes of those host rocks themselves. These processes involve both the transformation of rock under heat and

⁸⁰ Bersani *et al.* (2014).

⁸¹ Harlow *et al.* (2007: 230), Iizuka (2012, and pers. comm. by email 3 January 2017).

⁸² As put forward by Wen & Jing (1992, 1994).

pressure (metamorphism) and fluid interactions (metasomatism). An understanding of these basic geological processes lays the groundwork for examining the geographical locations of such host rocks in the China Mainland in Part Three.

Two types of nephrite

The use of terms ‘nephrite’ and ‘jadeite’ as equivalents is rife in the literature. Based on the foregoing discussion, let us first amend this statement to make them both rocks: nephrite, and jadeitite (a rock) rather than jadeite (a mineral). Next, it is important to note a similar difference among the host rocks: dolomarlite and serpentinite. As will be discussed in more detail below, nephrite can form from either dolomarlite or serpentinite, whereas jadeite forms in serpentinite. The hierarchical relations between these rocks make the processes easier to understand. For brevity and to avoid repetition, these abbreviations of the two types of nephrite are offered:⁸³ for nephrite from dolomite (D-nephrite, dN) and for nephrite from serpentine (S-nephrite, sN).

The main mineral of dolomarlite is dolomite $\text{CaMg}(\text{CO}_3)_2$, composed of calcium–Ca, magnesium–Mg, and carbonate– CO_3 . Dolomarlite is metamorphosed dolomitic limestone, often called ‘dolomite’ or ‘dolostone’, but here ‘dolomite’ will be reserved for the mineral. Nephrite may form through reaction of dolomite with quartz and water to form tremolite–actinolite (and the subsequent felting), calcite and CO_2 .

Serpentinite is a rock comprised mainly of serpentine minerals. Serpentine is the group name of minerals that have nearly the same chemical formula— $(\text{Mg,Fe})_3\text{Si}_2\text{O}_5(\text{OH})_4$ —but different crystal structures (polytypes). Antigorite and lizardite are the main serpentine minerals, with chrysotile being an asbestiform habit of lizardite; it is commonly antigorite that reacts to form tremolite–actinolite.

Serpentinite and dolomarlite thus serve as ‘host rocks’ for the formation of nephrite. Since the turn of the millennium, Chinese scholars have used non-destructive PIXE (Particle Induced X-ray Emission) analysis to distinguish S-nephrite and D-nephrite.⁸⁴ Major findings of the collective research by Zhang *et al.*⁸⁵ are (1) that the *general* dividing point among the sampled nephrites according to $\text{Mg}^{2+}/(\text{Mg}^{2+} + \text{Fe}^{2+(3+)})$ [abbreviated as the R^* ratio] is drawn at $R^* = 0.93$, confirming earlier whole-rock studies;⁸⁶ but (2) that this measure is not *entirely* accurate in separating D-nephrites

⁸³ In parallel with I-type, S-type, specialised S-type, and A-type granites (Chappell & White 2001, Kumar & Singh 2014: 140–1).

⁸⁴ Chen T. *et al.* (2004).

⁸⁵ Zhang Z. W. *et al.* (2010, 2011, 2012).

⁸⁶ Wen & Jing (1992), Zhang Z. W. *et al.* (2011). Note that this rendering is more specific than the standard $\text{Mg}/(\text{Mg} + \text{Fe})$ given above.

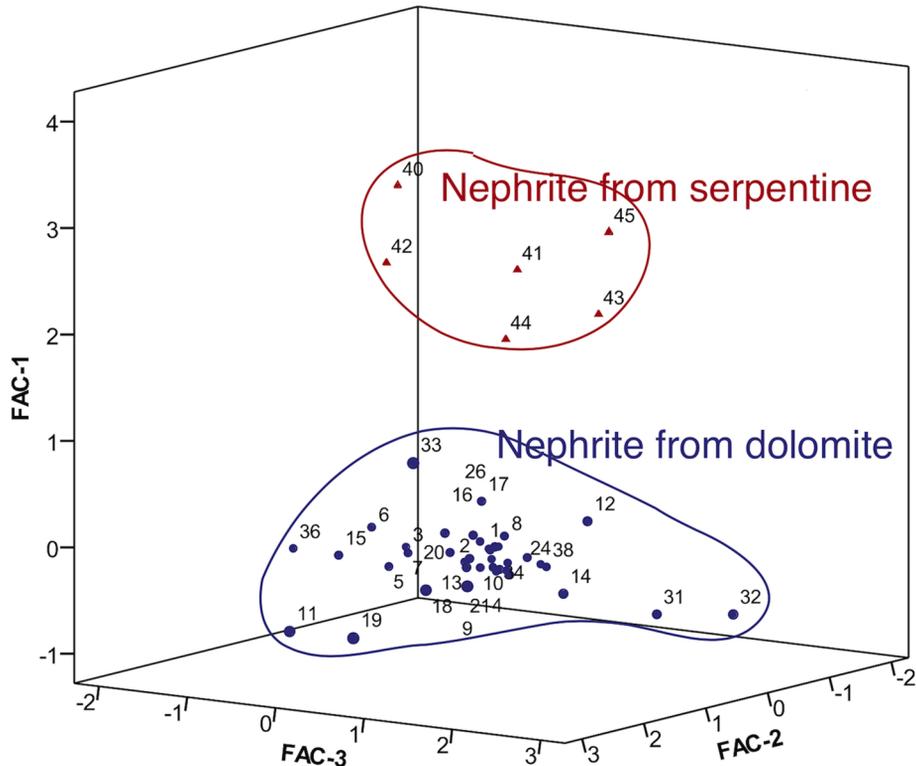


Figure 3. Factor analysis display of nephrite from dolomite and nephrite from serpentine (after Zhang Z. W. *et al.* 2011: Figure 4). Numbered items are actual samples, with Manas nephrite (40, 41) the only S-nephrite from China among others worldwide. Wenchuan samples (31, 32) are slightly separated from the rest of the Chinese D-nephrite samples. The ratio of Mg/(Mg + Fe) and the three trace elements Ni, Cr, and Co were the important determinants of the factors.

from S-nephrites, as was demonstrated early on by Wen and Jing.⁸⁷ One sample with very high Fe content (from presumed local geological circumstances) was grouped with serpentinite-derived nephrites, but its overall composition was closer to D-nephrites. Consequently, factor analysis of element combinations was undertaken to provide better distinction, and the groups clearly separated into sN above and dN below, as shown in Figure 3.

That study found that three trace-elements (chromium–Cr, cobalt–Co, and nickel–Ni) together with the R* ratio were crucial in determining the separation. Two types of nephrite were thus recognised: Type I with chemical composition corresponding to tremolite, and Type II with chemical composition corresponding to actinolite. Type-II nephrites ‘always contain more transition metals such as Cr, Co, Ni and so on.’⁸⁸ In

⁸⁷ Zhang Z. W. *et al.* (2011), Wen & Jing (1992: Figure 6).

⁸⁸ Zhang Z. W. *et al.* (2010: 367).

that study, it is notable that only Manas nephrite from Xinjiang was included in the S-nephrite group (Type II, actinolite) along with New Zealand and British Columbian nephrite; nephrites from Hetian, Yecheng, Xiuyan, Xiaomeiling, and Wenchuan were all D-nephrites (Type I, tremolite).

As more sources and artefacts from archaeological sites are analysed, we shall finally be able to trace mining, production, and consumption processes across the landscape. But prior to examining that landscape, more needs to be discussed about the origin of dolomarlite and serpentinite—the host rocks of nephrite.

Metamorphic rocks and minerals

All four rocks discussed above (nephrite, jadeitite, serpentinite, dolomarlite) are metamorphic rocks, one of the three major classes of rocks on Earth: igneous, sedimentary, and metamorphic. Metamorphic rocks are, by definition, transformations of other kinds of rocks, and additional metamorphic processes can act on previously metamorphosed rocks. Rocks before they become metamorphosed are called ‘protoliths’ or ‘parent rocks’. In this case, the parent rocks of serpentinite are igneous rocks of the Earth’s mantle (peridotite) or lower oceanic crust (gabbro, basalt), while the parent rock of dolomarlite is dolomitic limestone (dolostone).

The essential jade minerals (jadeite, tremolite, actinolite) are also metamorphic minerals whose formation particularly involves fluids (metasomatism). We saw above that tremolite and actinolite can form *either* from dolomarlite *or* from serpentinite. Jadeite can form from two kinds of metasomatism acting within peridotite or upon blueschist-facies rocks. As true-jade products, jadeitite is rare, while nephrite is more common; but worldwide, nephrite from serpentinite (S-nephrite) is more abundant than nephrite from dolomarlite (D-nephrite). Harlow *et al.* note that nephrite formed from dolomite is relatively rare in the world and yet is the main form of nephrite traditionally used in China.⁸⁹

Metamorphic processes

It was mentioned above that transformations of mineral chemistry via interactions with circulating fluids (metasomatism) is a major process in forming the true jade minerals. Metasomatism is a class of metamorphism that has been sadly neglected for the past half century but is now ‘roaring back into the vocabulary of petrology’.⁹⁰ Metasomatism warrants only four pages in Best’s ‘bible’, *Igneous and Metamorphic*

⁸⁹ Harlow *et al.* (2014: 342–3).

⁹⁰ Since 1958 to be exact (Nelson 2011: n.p.).

Petrology, but an 806-page book, *Metasomatism and the Chemical Transformation of Rock*, is now available on that topic alone.⁹¹ Thus, understanding nephrite and jadeite formation via metasomatism is only just beginning,⁹² and this review is no more than an initial report. See Harlow *et al.*'s chapter on 'Jade' for the current state of knowledge of nephrite jade;⁹³ the following draws heavily on their work.

Four types of metamorphism concern us here, numbered below. The major difference is between solid-state metamorphism, involving mineral recrystallisation in rock affected by pressure and temperature without melting except at very high temperatures, and metasomatism, germane to jade production and involving chemical change primarily via reaction with fluids (dissolution and precipitation). Although designated as a separate class, metasomatism is now recognised to occur in 'virtually all rocks'⁹⁴ and can accompany other types of metamorphism to greater or lesser extents.

One problem here is understanding the origins of dolomitic limestone itself. Dolomitisation of limestone, the replacement of calcium by magnesium in seawater, is not included in metamorphic processes; it is a diagenetic process that occurs at low temperature and pressure (at sea level in ambient temperatures).⁹⁵ This is discussed further below, but for our purposes here, dolomitisation will be included as the first stage of chemical changes leading to true-jade formation.

Metasomatic metamorphism

1. *Metasomatism* indicates fluid conditions of change: dissolution/precipitation rather than solid-state metamorphism (recrystallisation). Metasomatism works via fluid transport and chemical replacement: chemical reaction and exchanges of elements between the fluids and existing minerals. Fluids can be of various origins: e.g., circulating seawater, dehydration processes, volcanic–hydrothermal venting, or fluids generated in fault zones.

⁹¹ Best (2003), Harlow & Austrheim (2013).

⁹² Liu Y. *et al.* (2010: 250), Harlow *et al.* (2014). But see Harlow *et al.* (2015).

⁹³ Harlow *et al.* (2014).

⁹⁴ Newton (2014: 155).

⁹⁵ 'Diagenesis' refers to the transformation of sediments by chemical, physical, and biological means—possibly including metasomatism but not weathering—as they undergo lithification under low temperature and pressure.

Solid-state metamorphism (with accompanying metasomatism)

2. *Contact metamorphism* occurs when an igneous body (magma) intrudes into country rock such as limestone and causes chemical changes, primarily through heating but also through fluid exchange. This results in a local aureole of metamorphic rock around the intrusion. Heat from the igneous intrusion bakes the nearby rocks, causing mineral recrystallisation; fluids exuded beyond those areas cause chemical replacement of minerals to produce a rock called ‘skarn’. This type of metasomatism is often called ‘contact metasomatism’ and is credited with producing most of China’s D-nephrite.
3. *Regional metamorphism* occurs on a large regional scale where rocks are buried deep in the Earth’s crust; most changes result from pressure and temperature, but metasomatism can also occur. Limestone is converted into marble generally during regional metamorphism, though some may form on a local scale during contact metamorphism.⁹⁶ S-nephrite generally forms via fluid interactions under conditions of regional metamorphism.
4. *Cataclastic metamorphism* occurs in fault zones where rocks grind against each other; the friction causes mineral recrystallisation, and fluids are often generated. Both Luanchuan and Dushan jade are products of cataclastic metamorphism and metasomatism.

The traditional display of ‘metamorphic-facies’ at certain pressures (P) and temperatures (T) is given in Figure 4. Where unmetamorphosed rocks are subjected to these various P/T conditions, their minerals experience solid-state recrystallisation to produce minerals characteristic of those P/T conditions. The mineral suite and relict textures belonging to the parent rock can reveal whether that parent rock was sedimentary or igneous; both whole minerals and individual elements can be inherited from them. Moreover, rocks may be subject to other processes (oxidation, graphitisation) that change their mineral assemblages and mineral compositions.

The trajectories A, B, and C in Figure 4 are germane to the discussions of jade mineral formation in the following sections. Rocks can often move from greenschist to blueschist conditions, or even blueschist back to greenschist conditions (retrograde metamorphism). The mineral record will generally record these changes.

In all types of metamorphism, new minerals form in temporal sequence and in competition with each other,⁹⁷ depending on sequential changes in pressure, temperature, and fluid composition—with available elements being exchanged or taken up as needed.

⁹⁶ Imperial College London (2013: Glossary: Marble).

⁹⁷ For example, Xiao Y. *et al.* (2016).

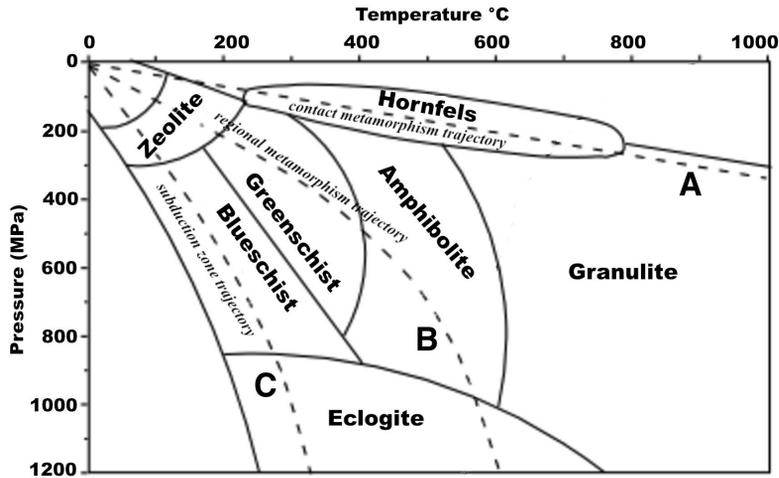


Figure 4. Metamorphic-facies (after Nelson 2011).

The top left corner of the graph represents the Earth's surface, at nominal $P/T = 0/0$. Three trajectories along different geothermal gradients (the Earth gets hotter the deeper you go) are indicated by A, B, and C, occurring in specific tectonic contexts: A in shallow contact metamorphism, B in regional burial where nephrite normally occurs, and C in deep subduction zones where jadeite is usually formed. These trajectories pass through named metamorphic 'facies' encompassing specific temperatures at specific pressures (depths). These P/T conditions cause different minerals characteristic of each facies to form during metamorphism, especially if the rock body being metamorphosed actually moves via tectonic processes of burial or exhumation.

A metamorphic hierarchy

In tracing the logical steps of true jade-mineral formation, we are looking at a temporal hierarchy of metamorphic processes acting in sequence on parent rocks to produce host rocks and then producing jade minerals, as shown in Figure 5. Metasomatism is represented by a solid line, and P/T metamorphism by a dashed line. Note that two kinds of P/T metamorphism are present: contact metamorphism due to igneous intrusion (Figure 4, Trajectory A), and blueschist-facies metamorphism taking place during subduction (Figure 4, Trajectory C). Because this paper concentrates on Chinese nephrite formation and occurrence, the processes for jadeite formation are abbreviated in Figure 5, to be dealt with elsewhere.

Numbers in parentheses are shown in Figure 5:

- The main sets of parent rocks (1) in question are limestones (high calcium–Ca and high carbonate contents), and upper mantle (peridotite, dunite) / lower ocean floor rock (gabbro, basalt) of ultramafic and mafic compositions, respectively.⁹⁸ Other

⁹⁸ 'Mafic' is derived from magnesium and ferric (iron); its opposite, 'felsic' is derived from the mineral family feldspar and silica. These apply to igneous rocks; felsic replaces the use of 'acid' igneous rocks in previous publications. 'Ultramafic' denotes rocks composed predominantly of olivine and/or pyroxene, e.g., mantle rocks.

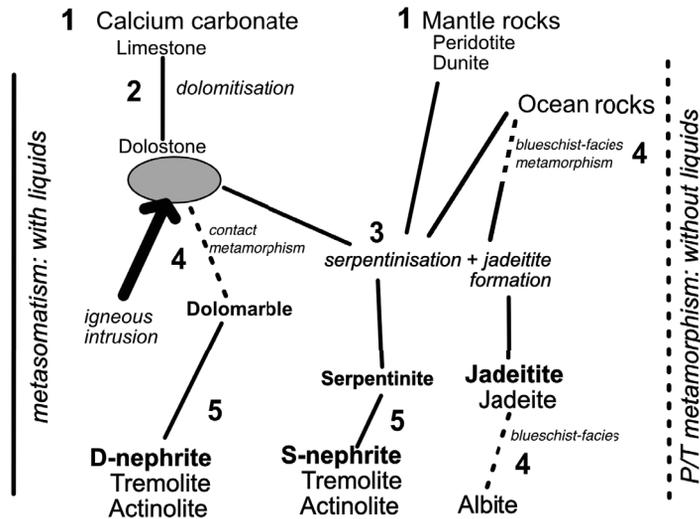


Figure 5. The hierarchical transformation of parent rocks into host rocks where nephrites and jadeitites are formed. Nephrite requires two stages: first dolomitisation (low temperature) and then contact metamorphism (high temperature) followed by metasomatism. Jadeitite in serpentinite appears to form simultaneously with the metasomatic serpentinisation of peridotite; but it can also be created by P/T metamorphism of albite (lower right). (Graph by the author.)

sedimentary and igneous rocks can be involved in jadeite formation but are not represented here.⁹⁹

- These parent rocks can be subjected to three different processes to form three host rocks: *dolomitisation* (2) of limestone to form dolomitic (magnesian) limestone; *serpentinisation* of dolomarble/peridotite/gabbro/basalt to form serpentinite (3); and *blueschist-facies metamorphism* to form blueschists (4), Trajectory C in Figure 4.
- However, two other metamorphic processes can affect dolostone: entire tracts of dolostone can be converted to dolomarble during the P/T conditions of regional metamorphism (Figure 4, Trajectory B; e.g., the Dolomite Mountains in Italy); and contact metamorphism (4), resulting from an igneous intrusion into dolostone, can transform the dolostone via the application of heat into dolomitic marble (dolomarble).
- Serpentinite, on the other hand, can be subjected to increasing P/T, from the greenschist-facies to the blueschist-facies or even the eclogite-facies shown in Figure 4. A further solid-state metamorphic possibility is jadeite formed from albite through P/T metamorphism (4), as seen at the lower right in Figure 5. This is the only case where jadeite is formed under P/T conditions without substantial metasomatism.
- The results of these processes are the two types of nephrite: D-nephrite formed from dolomarble and S-nephrites formed from serpentinite. The latter, moreover,

⁹⁹See Harlow *et al.* (2015).

have three possible parent rocks that were serpentinised: ultramafic rocks, mafic rocks, and dolomarble. These nephrite types will be discussed in detail below.

The problem with metamorphism is that it can affect rocks many times throughout geological history and in many different ways, including reversal or wanderings of the metamorphic trajectories. So, what we find today is a palimpsest of previous processes. To produce true jades, a variety of conditions must be met *and maintained*; Harlow *et al.* have noted that jade formation in itself is rare enough, but the preservation of jade minerals is also problematic, as the minerals are subject to replacement or recrystallisation under changing conditions.¹⁰⁰

Dolomitisation

Limestone is generally the rock of our shallow ocean reefs or derives from seafloor carbonate muds;¹⁰¹ it is formed primarily of fragmentary or dissolved shells and skeletal fragments of marine life, including algae. In the geological record, however, coral reef limestones are relatively recent, and most early limestones are formed from carbonate muds. Limestones and carbonate muds in shallow seas, lagoons, and coastal flats may be subject to dolomitisation by magnesium-rich seawater during the sedimentation process affected by sea-level changes.¹⁰² Once deposition is completed, limestones and muds may become dolomitised thousands or millions of years later through reaction with circulating fluids. Dolomitisation of lagoon or basin carbonate muds is effective on a large areal scale,¹⁰³ and ‘virtually all volumetrically large, replacive dolostone bodies are post-deposition and formed during some degree of burial’.¹⁰⁴

The major minerals of limestone are calcite and aragonite, which both have the chemical formula (but different crystalline structures) CaCO_3 . Where limestone or lime mud is exposed to magnesium-rich water, some calcium may be replaced by magnesium; magnesite MgCO_3 , another carbonate mineral (which does not contain calcium) can also form. If more than one quarter of the calcium is replaced by magnesium, the rock becomes dolostone (dolomitic limestone), comprised mostly of the mineral dolomite: calcium magnesium carbonate $\text{CaMg}(\text{CO}_3)_2$.

Once dolostone is formed, it can be metamorphosed by heat and pressure, resulting in dolomitic marble (dolomarble). Impurities in the original dolostone can form new minerals when the dolostone recrystallises under regional metamorphic conditions (Trajectory B in Figure 4). Tremolite marbles form in the lower to mid-amphibolite-

¹⁰⁰ Harlow *et al.* (2014: 339–40, 357).

¹⁰¹ Here, ‘mud’ is a grain-size designation and does not imply a significant amount of silt; the grains are primarily carbonates (CaO_3).

¹⁰² Machel (2004: 11, 46).

¹⁰³ Moore (1989: 159–60).

¹⁰⁴ Machel (2004: 7).

facies.¹⁰⁵ Note that the major chemical elements of dolomarlite, Ca and Mg, are primary constituents of tremolite and actinolite. Also note that these rocks lack silica, which is an important component of nephrite supplied to dolomitic rock by igneous rocks during contact metasomatism.

Serpentinisation

Peridotite is the main rock type of the Earth's upper mantle; gabbro is the commonest rock type of the lower ocean crust, as shown in Figure 6. Peridotite (a source of the olivine birthstone, peridot) has several varieties depending on the relative amounts of

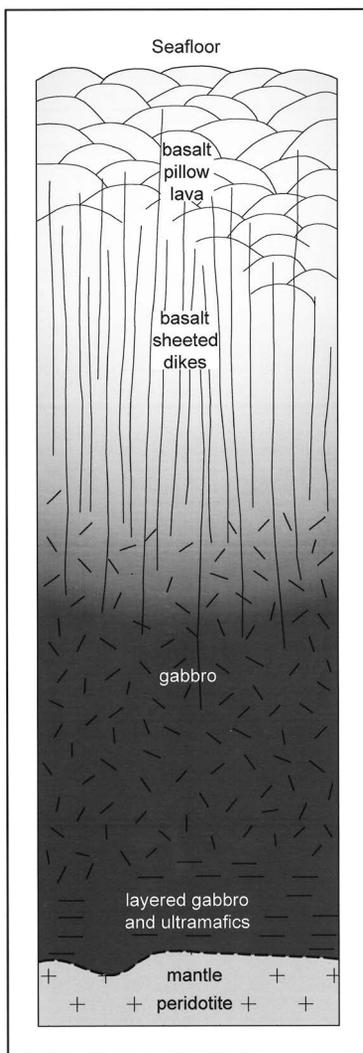


Figure 6. A typical stratigraphic column of oceanic crust (redrawn from Tucholke 1998: 1 by Durham Archaeological Services). The oceanic crust rests on the upper mantle, comprised mainly of the rock peridotite. The crust itself is composed of gabbro and basalt; it is overlain by seafloor sediments, including chert and mud (carbonate or silicic), and it may support some hot-spot volcanics. The ocean crust is usually formed at a mid-ocean ridge, but may also be produced elsewhere, for example, at a spreading centre in a back-arc basin.

¹⁰⁵ Imperial College London (2013: Glossary: Dolomarlite).

its three major constituent minerals; these are (1) the olivine solid-solution series ($\text{Mg}^{2+}, \text{Fe}^{2+}$) $_2\text{SiO}_4$; (2) orthopyroxene minerals incorporating primarily Ca, Mg, Fe; and (3) silica. Gabbro usually contains these three plus plagioclase feldspar, which contains Na, Ca, Al, and silica. Peridotites are serpentinised with the addition of water, which reacts with the anhydrous silicates to produce new minerals, mainly serpentine, and expands the rock's volume. Water reacting with gabbro to make serpentine carries the Na, Ca, and some silica out of the resulting rock.

The serpentine minerals that form during serpentinisation are the serpentine polymorphs antigorite, lizardite, and/or chrysotile (the last widely known as the major asbestos mineral),¹⁰⁶ with the general chemical formula $(\text{Mg,Fe})_3(\text{Si})_2\text{O}_5(\text{OH})_4$.¹⁰⁷ They are softer than the jade minerals, ranging from 2.5 to 3.5 on the Mohs scale. A rock formed primarily of any of these three serpentine minerals is termed serpentinite; this rock can yield 'ornamental serpentine', a material good for carving and often called 'serpentine jade'¹⁰⁸—not to be confused with nephrite formed in serpentinite (S-nephrite, described below). Bowenite is a different, particularly hard form of serpentine that is suitable for carving. Antigorite is also used for ornaments and termed 'new jade' (*xinyu*) or 'new mountain jade' (*xinshanyu*).

Note that the serpentine minerals do not contain calcium, a major constituent of nephrite. Thus, the calcium must be retained from the igneous parent rock or be supplied otherwise: for example, by seawater. As above, silica is necessary for nephrite formation; unlike dolomarlite, however, serpentinite is rich in silica, or silica can be supplied by contact and metasomatic exchange with an igneous rock.¹⁰⁹

The processes and results of serpentinisation are much more complicated and chemically diverse than with dolomitic rocks. Serpentinite is composed of a variety of minerals: predominantly the serpentine minerals, minor magnetite and variable minor brucite, magnesite, calcite, dolomite, and talc. Minor chromite, clinopyroxene, orthopyroxene, and olivine may remain as relics of the original peridotite. Serpentinite itself and the serpentine minerals are highly variable in colour from green to brown to black–green; the green is caused by iron and black spots are usually caused by magnetite. Many of the trace elements and minerals of serpentinite are inherited from its parent rock (usually peridotite) and can be passed on to nephrite.

¹⁰⁶ Malpas (1992: 8); chrysotile is considered a growth 'habit' of lizardite, not a separate mineral (George Harlow pers. comm. 2 March 2017); it has been redefined (Rd) in the IMA mineral list (IMA–CNMNC 2017a).

¹⁰⁷ Minerals.net (n.d: 'antigorite').

¹⁰⁸ Mindat.org: www.mindat.org/min-41710.html, Chen Q. *et al.* (2014).

¹⁰⁹ Frost & Frost (2014: 204).

The parent and host rocks for nephrite and jadeitite formation—limestones and peridotite and gabbro/basalt—are rocks of the oceanic crust and upper mantle. What on Earth are they doing in continental settings where they can be mined for jade?

PART THREE: NEPHRITE AND PLATE TECTONICS

In this section, the geography of nephrite localities in China will be examined. As ever, geological processes control those localities, so a brief discussion of relevant aspects of plate tectonics here will pave the way for examining individual occurrences of jade formation in Part Four.

Locations of host rocks

Since 2005, maps have been produced that illustrate a worldwide geographical correlation between nephrite with ophiolites, as shown in Figure 7.¹¹⁰ This is because

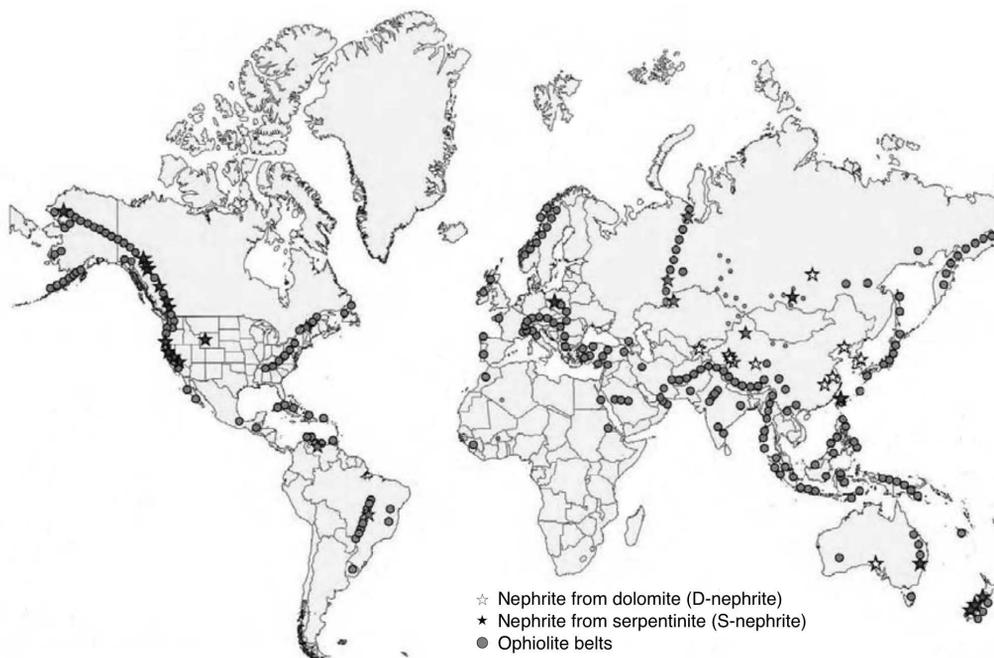


Figure 7. Worldwide nephrite sources and ophiolite distributions (after Harlow *et al.* 2014: Figure 10–23, with permission). In East Asia, the relationships of nephrite from serpentinite and nephrite from dolomite are not clear at this scale, but are demonstrably not related to the major worldwide distribution of ophiolite, with permission.

¹¹⁰ A more detailed map of 23 ophiolites is given in Zhang Z. H. *et al.* (1984: Figure 3).

most non-Chinese nephrite formed in serpentinite, which is a major component of ophiolites. Conversely, most nephrite in China was not formed in serpentinite but from dolomite, as described for Figure 5. Thus, there are three general problems with this map: first, the nature and significance of ophiolites are lost on those who have never heard of them before; second, the correlation between ophiolites and nephrite as shown here does not hold very well for China; and, third, not all sources of nephrite in China are depicted on the map. Thus, in discussing the Chinese case, we must understand the geological processes that contribute to the formation of both S-nephrite and D-nephrite: ophiolite emplacement,¹¹¹ limestone accretion, and subduction-zone metasomatism and magmatism.¹¹² A map illustrating the general ophiolite belts and major nephrite sources in China is shown in Figure 8.

What is an ophiolite?

There are nine different types of ophiolite (*sensu lato*) that are formed in different tectonic settings but most commonly in a ‘suprasubduction’ zone—on the continental side of a subduction trench in fore-arc or back-arc basins.¹¹³ These consist mainly of fragments of oceanic crust and Earth mantle that have been incorporated into the continental land surface. The most complete ophiolite (*sensu stricto*) is a vertical slice of oceanic crust and underlying mantle rock (peridotite) preserving the vertical stratigraphy from top to bottom, as seen in Figure 6: ocean floor sediments > cherts > basalt > gabbro > peridotite (e.g., the Troodos ophiolite in Cyprus)—but few ophiolites exhibit all of these properties. It is recommended that to be called an ophiolite, a rock body should have at least peridotite, basalt, and basalt pillow lava in clear relations—otherwise they should be called ‘possible ophiolites’,¹¹⁴ but ‘sliver[s] of serpentinite, gabbro, dolerite, or basalt’ from oceanic sources occurring on land have all been termed ophiolites.¹¹⁵

Ophiolites are associated with orogenic ‘mountain-building’ zones where subduction was followed by collision.¹¹⁶ As one can see from Figure 7, the ophiolite chains generally follow mountain chains: the Rockies, the Appalachians, the Urals, the Alps, etc. These mark the locations of past and present subduction zones. In East Asia, the present-day subduction zones are marked by the deep trenches running

¹¹¹ The proper term for emplacement is ‘obduction’, complementary to ‘subduction’; the former adds to the landmass, the latter draws materials down into the mantle.

¹¹² For a general introduction to subduction zone processes, see Barnes (2003, 2008) using Japan as a case study, then Yuan *et al.* (2009) for China.

¹¹³ Dewey (2003), Dewey & Casey (2011), Robinson & Zhou (2007).

¹¹⁴ Robinson & Zhou (2007: 303).

¹¹⁵ Dewey & Casey (2011: 431).

¹¹⁶ Yuan *et al.* (2009). The word orogeny comes from the Greek *óros* (mountain) + *geneia* (creation).

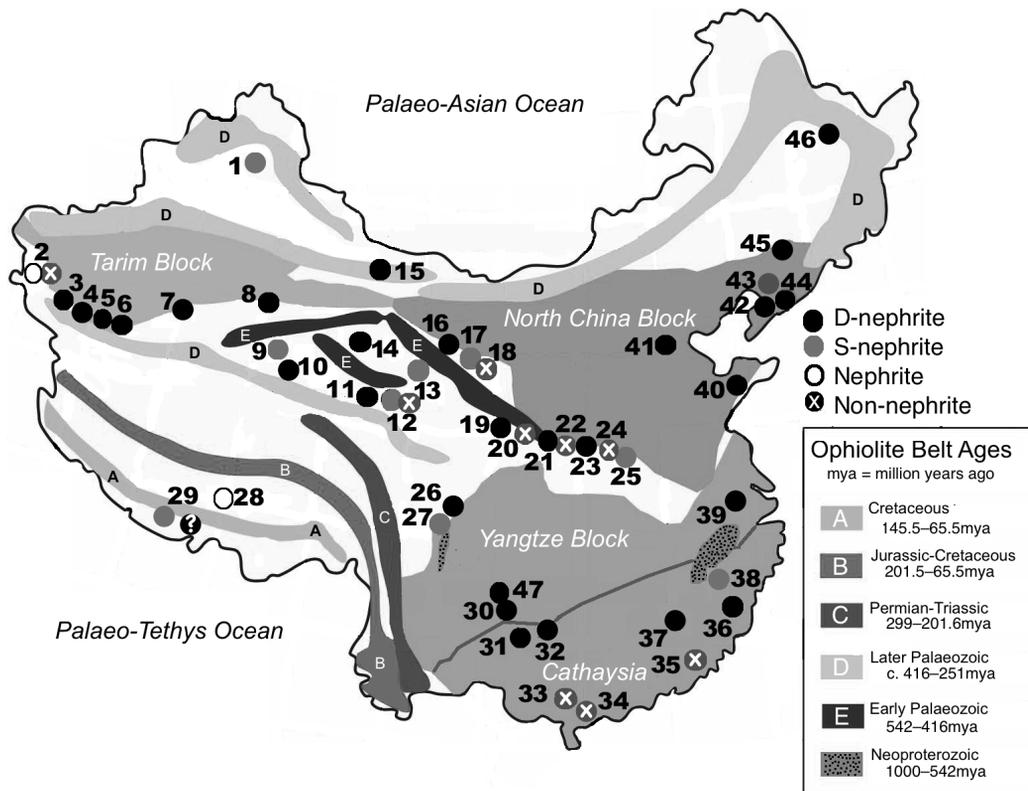


Figure 8. Locations of major Chinese D-nephrite and S-nephrite sources, mapped over ophiolite belts (after Robinson & Zhou 2008: Figure 1; Zhang Z. W. *et al.* 2011: Figure 1). Location numbers keyed to Table 7.

down the western Pacific Rim: the Kurile Trench through the Japan Trench; Izu–Bonin into the Marianas Trench; and the Ryukyu and Philippine Trenches.

Currently, China is not directly exposed to any operating subduction zones, but ophiolites occur throughout the country, marking ancient subduction zones mainly in the west–southwest and north. When subduction begins, oceanic crust (usually formed at spreading centres in back-arc basins such as the Japan Sea Basin, or in fore-arc positions) is fragmented, and some is thrust (obducted) onto land when the ocean/basin closes under pressure of subduction initiation.¹¹⁷

There are several ophiolite ‘belts’ in China of different ages reaching back a billion years. These have been beached, so to speak, by the closing of successive oceans that brought together the cratonic blocks and continental fragments to make up today’s China. The major cratons—the Tarim Block, North China Block, and Yangtze Block, as labelled in Figure 8—are thus rimmed with oceanic materials. The ophiolite belts

¹¹⁷Thanks to George Harlow for clarification of the timing of this.

of main interest to jade researchers are remnants of the Palaeo-Asian and Palaeo-Tethys oceans, which surrounded the Tarim and North China Blocks between *ca.* 550 and 250 mya (Palaeozoic: Cambrian–Permian).¹¹⁸ These ophiolite belts may be ‘superimposed on one another, suggesting repeated accretion of island arc assemblages in an environment similar to the present-day western Pacific Ocean’.¹¹⁹ No ideal ophiolites such as Troodos have survived in China; the ophiolite ‘belts’ are notional and figuratively encompass many small ophiolitic fragments that have been identified and named. Many of these do not have the three basic rock types required by international standards of identification.

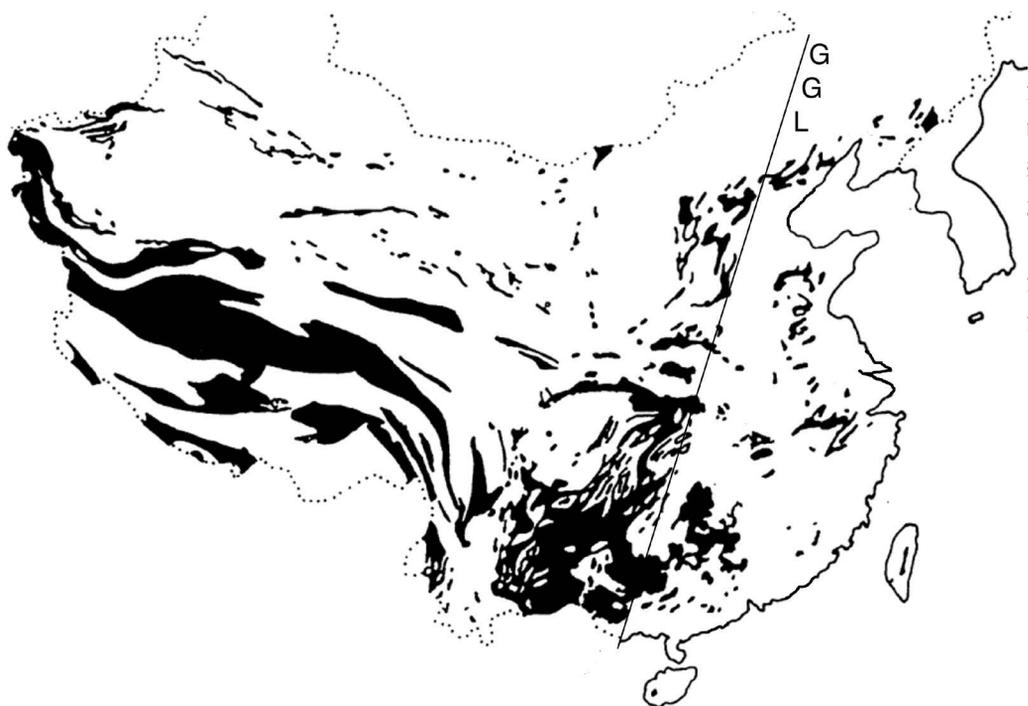


Figure 9. Locations of carbonate rocks in China (after Sweeting 1995: Figure 1; GGL from Niu *et al.* 2015: Figure 1). The diagonal line is the GGL (Great Gradient Line), dividing the thickness of China’s continental lithosphere (crust + upper mantle) of $\geq 150\text{km}$ to the west (beneath the plateaus) and $\leq 80\text{km}$ to the east. These carbonate bodies could then be affected by the extensive magmatic activity in eastern China that has been attributed to the continent moving eastwards over a *stagnant* underlying Pacific Plate which emitted enough fluids *ca.* 190–88 mya to generate granitic magma intrusions (Niu *et al.* 2015). Thus, magmatic intrusions across eastern China that encountered carbonate rocks have the potential to produce nephrite.

¹¹⁸ mya = million years ago.

¹¹⁹ Zhang Q. *et al.* (2007: 308).

¹²⁰ National Park Service (n.d.).

¹²¹ Sweeting (2012: 16).

¹²² Kojima *et al.* (2016).

Figure 5 tells us that such limestones had to undergo three processes for tremolite/actinolite formation: dolomitisation of the limestone to form dolomitic limestone, and then P/T metamorphism along Trajectories A or B to produce dolomarlite, and finally contact metamorphism (Trajectory A, if only B before) for the introduction of silica to form the nephrite minerals. The first happens in the sea before accretion, whereas the latter generally occurs within continental crust. There is subsequent metasomatic transformation of dolomarlite to nephrite. Figure 5 indicates that an igneous intrusion into dolomarlite was usually the cause of nephrite formation in China. That particular sequence will be examined below for the Alamas dolomarlite, but the igneous activity needs accounting for as a subduction-zone process.

Subduction-zone magmatism

The subducting oceanic crust dehydrates as it descends into the Earth. Fluids exiting the ocean slab enter the overlying mantle rock, lowering the melting temperature of the rock and turning it to magma. The magma rises into the continental crust, sometimes ending as an emplaced pluton (magma chamber) or extruded onto the Earth's surface via a volcano. When hot magma encounters cold country rock, it bakes it, creating a metamorphic aureole around the intrusion, and fluids emanating from there create skarn or metasomatised rock. If the country rock is dolomitic, then there is the potential for nephrite to form. Intrusion of magma into dolomitic rock must overlie an active subduction zone (but we will see below that nephrite can develop without an active igneous intrusion, as in cataclastic metamorphism).

What is serpentinite mélange?

A final subduction-zone process is the formation of serpentinite mélange. Mélange is a word denoting a miscellaneous mixture of things; when used in Geology, it applies to a rock type that is basically a jumble of different kinds of rocks in some host-material matrix. The common examples are sediment-matrix and serpentinite-matrix mélanges, but in the case of jade, the latter are of interest here. The serpentinite can come from underneath the ocean floor or from the mantle wedge underlying the continental edge,¹²³ or from mantle rock exposed to seawater during crustal thinning.¹²⁴ The mélange forms during subduction of an oceanic plate under a continental plate, and the rocks involved can come from either or both plates as material is sheared off during subduction. Serpentinite mélanges make up substantial parts of accretionary complexes (oceanic rocks and sediments bulldozed into the continental edge by the subducting plate) and are usually metamorphosed to greenschist-facies. They can,

¹²³ Shervais *et al.* (2011).

¹²⁴ Reston & Manatschal (2011).

however, be formed deeper between the subducting slab and the mantle wedge, being metamorphosed at higher pressure to blueschist-facies level.

Because a *mélange* by definition consists of serpentinite surrounding other rocks, such as ocean-floor-altered gabbro or basalt, conditions are ripe for further metasomatism and nephrite formation. It is important that the basalt and gabbro had previously been exposed to ocean-floor alteration by seawater (metasomatised) into serpentinite before accretion.

Serpentinite *mélanges* are different in genesis and construction from serpentinite in an ophiolite. It would be useful if these circumstances were noted when describing occurrences of nephrite in serpentinite.

PART FOUR: DERIVATION OF NEPHRITE FROM HOST ROCKS

The derivation of nephrite from either serpentinite or dolomarlite sounds simple, but there are many complications. Nephrite formation depends on several factors: the nature of the host rock and the contact rock, the kind of metamorphism, the tectonic setting, and the elements available in the fluids. Some of these variables appear in Table 7, which lists the main known nephrite sources and some serpentine-jade sources in China. The two types of nephrite described in Figure 5 as D-nephrite and S-nephrite are referred to in the Chinese literature as Type I and Type II, respectively.¹²⁵ For ease in remembering which is which, the notation ‘dN’ from dolomite, and sN from serpentine might be more helpful. Moreover, note that serpentine jade is actually serpentine minerals, different from S-nephrite.

Below, several types of jade found in China, both nephrites and non-nephrites, will be reviewed as to their geological setting and formation. Several are distinguished by characteristic chemical signatures; these are then compared to some archaeological jades that have been analysed, representing the beginnings of productive efforts to source jade objects to certain deposits.

Nephrite from dolomitic rocks: D-nephrites (dN)

In the early 1990s, it was thought that nephrite from ‘magnesite marble’, i.e., dolomarlite, was produced by metasomatism as it did not bear the chemical signature of regional metamorphism.¹²⁶ Since then, nephrite from dolomite (D-nephrite) is most generally

¹²⁵ There are also two types of serpentine jade, using the same designations (Type 1 and Type 2), but these are not relevant here.

¹²⁶ Wen (1994).

Table 7. Jade sources in China as reported in the English-language literature

Blanks in the chart indicate incomplete reporting. Location numbers keyed to Figure 8, most common name in bold.

Co. = County; Aut. = Autonomous; Pref. = Prefecture; R. = River; Nephrite types: dN = nephrite from dolomite, sN = nephrite in serpentinite. Pronunciations: C. = Chinese; E. = English; J. = Japanese; M. = Mongol; U. = Uyghur; Z. = Zhuang.

Jade name or source	No.	Prefecture/ City/County	Host rock	Contact rock	Metamorphic type	Jade type
XINJIANG PROVINCE = Xinjiang Uyghur Autonomous Region						
C. Manas U. Manasi	1	Manas Co. Changji Hui Aut.Pref.	ultramafic serpentinite	igneous	metasomatism	sN actinolite, sN tremolite
C. Yecheng U. Karghilik	2	Yecheng Co. Kashgar Pref.				serpentine jade
U. Tashkurgan (Taxkorgan)	2	Taxkorgan Tajik Aut. Co. Kashgar Pref.				nephrite
C. Pishan U. Guma (Khotan)	3	Pishan Co. Hotan Pref.				D-nephrite
C. Hetian U. Hotan	4	Hetian Co. Hotan Pref.	dolomite; Mg marble	intermediate granite		D-nephrite
U. Yurungkash R. E. White Jade R.	4	Hotan Pref.				tremolite
U. Karakash R. E. Black Jade R.	4	Hotan Pref.				tremolite actinolite
E. Cele (Celle) U. Qira	5	Qira Co. Hotan Pref.				nephrite
C. Yutian U. Keriya	6	Yutian Co. Hotan Pref.	dolomarlble	granodiorite		D-nephrite
U. Alamas C. Alamas	6	Yutian Co. Hotan Pref.	dolomite dolomarlble			tremolite D-nephrite
C. Qiemo U. Cherchen	7	Cherchen Co. Baiyin'gholin Mongol Aut. Pref.	carbonate			tremolite D-nephrite
C. Ruoqiang U. Qarkilik	8	Ruoqiang Co. Baiyin'gholin Mongol Aut. Pref.				

Table 7. *Continued.*

Jade name or source	No.	Prefecture/ City/County	Host rock	Contact rock	Metamorphic type	Jade type
QINGHAI PROVINCE						
E. Kokonur, Tibetan Amdo						
Eastern Kunlun Mts C. dong-Kunlun		Central Qinghai	dolomarlite	interm granite		D-nephrite tremolite-actinolite
C. Mang'ai (Mangya)	9	Mang'ai Co., Haixi (M. Qaidam) Mongol & Tibetan Aut. Pref.				S-nephrite
M. Golmud C. Ge'ermu	10	Golmud City, Haixi			D-nephrite	tremolite
C. Sanchakou	11	Dulan Co. Haixi Pref.	dolomitic limestone	gabbro	hydrothermal metasomatism	D-nephrite
C. Dulan / Yushitai	12	Dulan Co. Haixi	serpentine			serpentine S-nephrite
Qilianshan = Nanshan Qiliang Mts = Nan Mts	13	Qinghai-Gansu	serpentine			S-nephrite actinolite tremolite serpentine
Sanchahe	14	Daqaidam Co., Haixi	marble	mafic igneous	contact metasomatism	D-nephrite
GANSU PROVINCE						
C. Mazongshan Mt. Mazong	15	Subei Co. Jiuquen City				D-nephrite
C. Jiuquen (C. Suzhou)	16	Jiuquen Pref. City	carbonate			dN tremolite
Nanshan = Qilianshan	17-18	Gansu	serpentinite			sN actinolite tremolite
Lintao (Maxianshan)	19	Lintao Co. Dingxi City	carbonate			tremolite
Yuanyang	20	Wushan Co. Tianshui City	serpentinised ultramafic		hydrothermal metasomatism	serpentine
SHAANXI PROVINCE						
Hanzhong	21	Qinling Mountains 秦岭				D-nephrite
Fengxian	21	Feng Co. Baoji City				nephrite
Lantian jade	21	historic – exhausted				nephrite

Table 7. Continued.

Jade name or source	No.	Prefecture/ City/County	Host rock	Contact rock	Metamorphic type	Jade type
Lantian	22	Lantian Co. Xi'an City	dolomarlite	interm-felsic igneous	hydrothermal metamorphism	serpentine jade Type 2
HENAN PROVINCE						
Luanchuan	23	Luanchuan Co. Luoyang Pref. City	dolomitic marble	metagabbro	cataclastic metamorphism	tremolite D-nephrite
Dushan/ Nanyang jade	24	Nanyang City		gabbro metamorphism	cataclastic	zoisitised plagioclase
Xichuan	24	Xichuan Co. Nanyang City.	serpentinised ultramafic			serpentine jade Type 2
Xichuan	25		serpentinite			actinolite S-nephrite
SICHUAN PROVINCE						
Wenchuan	26	Wenchuan Co. Ngawa Tibetan & Qiang Aut. Pref.	nephrite from dolomite			D-nephrite
Longxi	26	Longxi town, Wenchuan Co. Ngawa Tibetan & Qiang Aut. Pref.	carbonite			tremolite
Shimian	27	Shimian Co. Ya'an City				S-nephrite actinolite
TIBET AUTONOMOUS REGION = XIZANG AUTONOMOUS REGION						
C. Nagqu T. Nagchu	28	Nagzu Co. Nagqu Pref.				nephrite
C. Xigaze T. Shigatse	29	Xigaze City, Tsang Prov.	Locs: Lazi, Saga, Angren Counties			S-nephrite actinolite
GUIZHOU (E. Kweichow)						
Luodian	30	Luodian Co. Qiannan Buyei & Miao Aut. Pref.	carbonate replacement		thermal seawater metasomatism, contact metasomatism	D-nephrite, tremolite
C. Rongli	47	Ziyun Miao and Buyei Autonomous Co., Anshun Pref. City	calcium carbonate	basic intrusive	contact metasomatism	D-nephrite

Table 7. *Continued.*

Jade name or source	No.	Prefecture/ City/County	Host rock	Contact rock	Metamorphic type	Jade type
GUANGXI						
C. Dahua Z. Dava	31	Dahua Yao Aut. Co. Hechi City	carbonate replacement			D-nephrite tremolite
Hechi	32	Hechi Pref. City	dolomarlble marble	mafic igneous	contact metasomatism	
C. Luchuan Z. Luzconh	33	Luchuan Co. Yulin Pref.	carbonate: magnesite, dolomite	intermfelsic igneous		serpentine jade
GUANGDONG						
South Xiuyu	34	Xinyi Co. Maoming City				serpentinite
FUJIAN PROVINCE						
Hua'an	35	Fujian	calcareous silt/mudstone	granite	contact metasomatism	Fe-diopside K-feldspar
Nanping	36	Nanping Pref. City				D-nephrite
JIANGXI						
Xingguo	37	Xingguo Co. Ganzhou Pref. City				D-nephrite
Yiyang	38	Yiyang Co. Shangrao Pref.				S-nephrite
JIANGSU PROVINCE						
Meiling jade aka Xiaomeiling	39					
Xiaomeiling		Liyang Co.City Changzhou Pref. City	carbonate	granite	contact metasomatism	tremolite
Liyang	39	Liyang Co.City Changzhou Pref. City	dolomitic marble	intermfelsic igneous		D-nephrite tremolite
SHANDONG PROVINCE						
Laiyang	40	Yantai Co.				D-nephrite
HEBEI PROVINCE						
Tanghe	41	Baoding City	dolomarlble	granite/ granodiorite	hydrothermal metamorphism	tremolite
LIAONING PROVINCE						
Xiuyan	42	Xiuyan Manchu Auton. Co. Anshan Pref. City	carbonate			D-nephrite tremolite (<i>laoyu</i>)

Table 7. Continued.

Jade name or source	No.	Prefecture/ City/County	Host rock	Contact rock	Metamorphic type	Jade type
Xiuyan	43		carbonate: magnesite, dolomite	interm-felsic igneous		serpentine jade Type 1
Haicheng Wazii(gou)	42	Wazigou town, Haicheng Co. City in Anshan Pref. City				D-nephrite
Yinkou	43	Yinkou Pref. City	peridotite dolomite	serpentised marble	post-metam. hydrothermal alteration	serpentine jade
Kuandian	44	Kuandian Manchu Aut. Co., Dandong Pref. City	carbonate			tremolite D-nephrite
JILIN PROVINCE						
Panshi	45	Panshi Co. City, Jilin Pref. City				D-nephrite
HEILONGJIANG						
Tieli	46	Tieli Co. Yichun Pref.				D-nephrite
Toushan/Taoshan = J. Momoyama	46	Taoshanzhen village, Tieli	dolomitic marble	granodiorite igneous	contact metamorphism, metasomatism	tremolite serpentine calcite

Sources: See Table 7A at https://docs.google.com/document/d/1xXLykhWU2lgEtjRcKSFOGzjxmC17p8AIv-rjS_1BEyw/edit

described as a product of metasomatism between dolomarmarble and granitic intrusions, though several nephrites are reportedly produced by dolomarmarble and *mafic* igneous rocks such as basalt or gabbro identified in Table 7; but we will also see below an example without igneous intrusion.

There is ongoing discussion of how nephrites are actually formed:¹²⁷ whether there was a ‘ready fluid’ with all the necessary ingredients waiting to solidify, or whether the fluids delivered new elements to the minerals in the host rocks to cause replacement (somewhat like the replacement of dolomite for calcite in dolomitisation). The case studies below seem to assume the latter mechanism, as do Harlow and colleagues, to frame nephrite formation as ‘replacement’ of the essential elements in host rock minerals (dolomite, serpentine) to form new minerals—not only tremolite and/or actinolite but other minerals as well.

¹²⁷ Harlow *et al.* (2014: 342, 353–4).

The Alamas D-nephrites

The Alamas nephrites from dolomarlite, seen in Figure 10, have been intensively analysed and will serve as an example here. They belong to the Qimanyute Ophiolite Belt in the western Kunlun region.¹²⁸ Mineral assemblages indicate temperatures between 330° and 550°C, and pressures between 100 and 200 MPa,¹²⁹ conforming to the area of the Hornfels-facies on Trajectory A for contact metamorphism in Figure 4. Contact metamorphism occurs at shallow levels and at relatively low temperatures. The zonation of metamorphic changes at Alamas clearly demonstrates the evolution from contact metamorphism due to pressure/temperature changes and contact metasomatism due to the emanation of fluids. Skarn is a rock typically formed by such metasomatism, and here there are three graded series of skarn before three nephrite bodies of increasingly white colour were created, as indicated in Figure 10(b). In addition to the nephrite veining due to contact between the dolomarlite and granodiorite, more nephrite bodies occur as lenses and veins within the dolomarlite, Figure 10(a), indicating further penetration of fluids via faults and fractures.

Two models have been proposed for Alamas D-nephrite generation; the (aq) below represents components dissolved in water:¹³⁰

1. A *single-stage model* whereby water and silica(aq) from the magma are added to dolomite to form nephrite. The chemical reaction produces excess calcite and aqueous carbon dioxide(aq).
2. A *two-stage model* whereby silica(aq) and dolomite react first to form diopside + carbon dioxide(aq), then the addition of water transforms the diopside into nephrite with calcium(aq) and silica(aq) as by-products.

It is not known which of these two mechanisms was operative.

All nephrites at Alamas are tremolite, with a minor component of actinolite having an Mg/(Mg + Fe) ratio of 0.72. Other accessory minerals are calcite, titanite, phlogopite, and diopside. Trace elements include zinc, manganese, zirconium, <10 µg/g of chromium, cobalt, and nickel. Minerals in associated zonations include spinel (candite), diopside, grossular, serpentine, epidote, tremolite, forsterite, and chlorite.

It is the presence of zoned mineral deposits here that is important for our understanding of prehistoric mining processes used for Hongshan and Liangzhu jades—before the Hetian alluvial deposits were exploited. Miners potentially had access to several different types of mineral in a single geological setting, and they may have supplied a variety of minerals and rocks to stone workers. These probably would

¹²⁸ Zhang Q. *et al.* (2016).

¹²⁹ Liu Y. *et al.* (2010, 2011b).

¹³⁰ Harlow *et al.* (2014: 345), Liu Y. *et al.* (2011b).

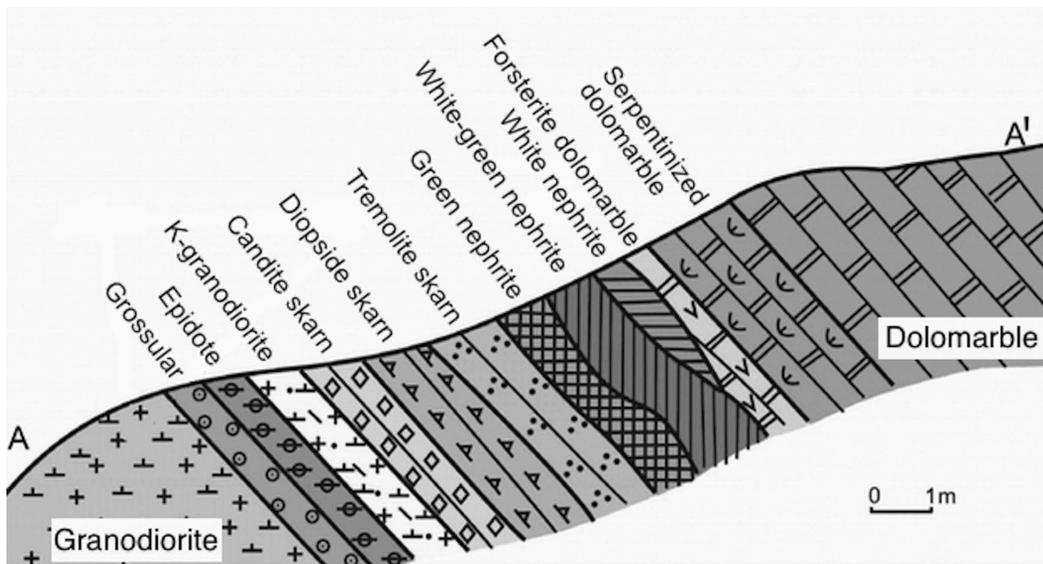
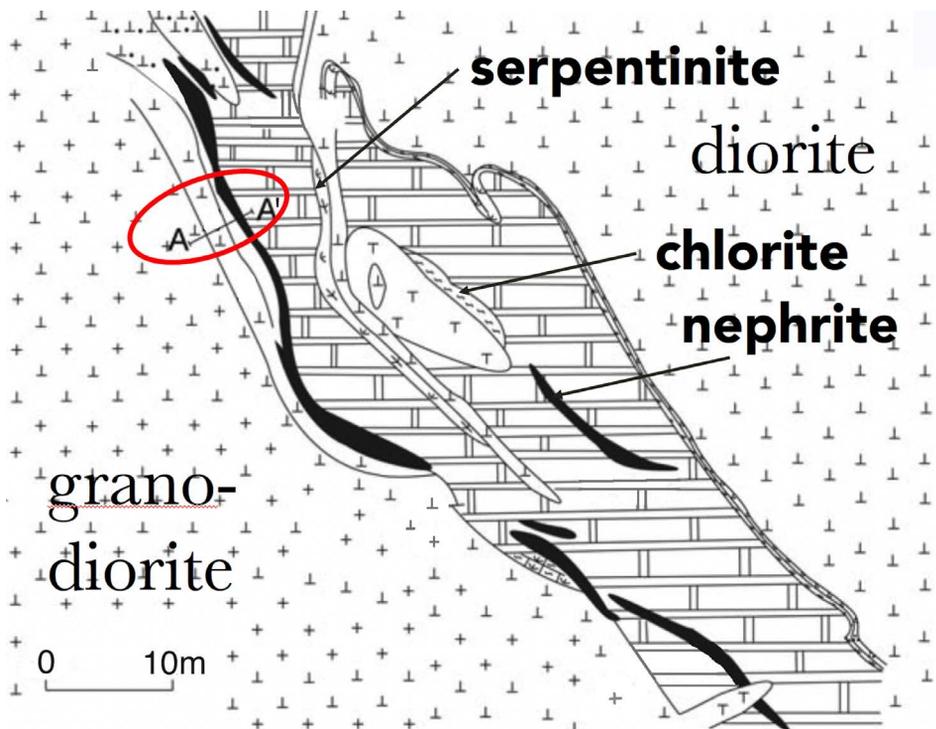


Figure 10. The Alamas ophiolite (after Zhang Q. *et al.* 2016). Transect A–A', circled in 10(a), is shown in section in 10(b).

- (a) dolomarlite (brickwork) metamorphosed by igneous intrusion of granodiorite on the right.
- (b) successive zones of metamorphism from left to right after granodiorite: contact metamorphism resulting in new minerals; then metasomatic reactions producing various skarns; then three colours of nephrites; then altered marblite adjacent to the original dolomarlite.

have been processed at the mine into specific loads so that stone types and trimmings that were not of economic value would have been discarded—possibly providing a resource for future archaeological investigation.¹³¹ For example, archaeological excavation¹³² of a nephrite workshop and pit-mine at Mazongshan, Gansu Province, may illuminate what rocks and minerals occur together.

Southern Xinjiang D-nephrites

The main source of ‘mutton fat’ jade was Hetian (Hotan). This is the name of a county, *and* its county seat, sandwiched between the Black and White Jade rivers in the southern Tarim Basin. Together with Yutian, aka Keriya County to the east of Hetian, it names one of the three groups of primary nephrite sources in the western Kunlun mountains of southern Xinjiang Province. The word ‘primary’ refers to in-situ deposits rather than secondary (placer) finds in the alluvial riverbeds.

The three groups of these true jades are designated by their important town names: Shache–Yechang with four primary deposits; Hetian–Yutian (Hotan–Keriya) with 11 primary deposits, and Qiemo–Ruoqiang having three primary deposits—altogether 18 primary deposits with individual names not given here.¹³³ Nephrites from these sources contain several accessory minerals: graphite, apatite, diopside, allanite, zircon, and rutile; and the nephrites occur in association with several other rocks and minerals: diorite, granodiorite, dolomarmarble, gneiss, schist, quartz, and pyrite.

Southern Xinjiang nephrites have a variety of different compositions and colours, ranging from white tremolite, to light- and dark-green nephrite, to black tremolite and black actinolite. The elements causing the black colour in the latter two nephrites are different: black tremolites contain graphite, whereas black actinolites are coloured by high iron content.¹³⁴ Even ‘mutton fat’ nephrite composed of 99.6 per cent tremolite can incorporate biotite (black mica with iron content), whereas light-green nephrite of 93 per cent tremolite contains 1 per cent epidote inclusions acting as the colouring agent.¹³⁵

The lesson from Hetian, however, is that ‘tremolite’ is not a synonym for ‘mutton fat’ white jade (though the reverse is true).¹³⁶ Tremolite can occur as other colours, with increasing iron (or chromium) content to make it green, or with graphite to make it black. Nevertheless, despite these colours, the Hetian district nephrites were produced by the classic scenario described by Wen and Jing: dolomarmarble intruded by granite, with concomitant contact metasomatism.

¹³¹ Thanks to economic geologist Brendan Caulfield for this thought.

¹³² Wang J. (2014).

¹³³ Liu Y. *et al.* (2011a), Harlow *et al.* (2014: Figure 10-25).

¹³⁴ Liu Y. *et al.* (2011a).

¹³⁵ Shi Q. (1987).

¹³⁶ As emphasised by George Harlow.

Luanchuan D-nephrites

Nephrites occur at Luanchan, Henan Province, in the Qinling suture zone between the North and South China Blocks. The host rock of interest here is the marble in contact with metamorphosed gabbros.

Previous studies have postulated that the nephrites were formed by metasomatism between intrusive gabbros and marbles. If this were the case, the gabbro and nephrite should be of the same date. However, a study in 2015 discovered that the gabbros are 850 million years old *and* they have been metamorphosed, hence the name ‘meta-gabbros’. In contrast, the nephrites date to 360 mya, as assessed through uranium–lead isotope dating.¹³⁷ A gap of 500 million years means the gabbro could not possibly have intruded the later marbles.

It also became clear that the nephrite deposits are located along NW/SE-trending fault lines. Although the researchers pondered the possible presence of some granitic fluids, they concluded that the nephrites most likely formed by circulation of crustal fluids associated with the shearing activity along these fault lines at *ca.* 360 mya. In other words, these tremolites are *not* a product of contact metasomatism from an igneous intrusion but were produced by fault movement involving fluids, a form of cataclastic metamorphism accompanied by metasomatism.

Wenchuan D-nephrites

Sources in Wenchuan County in Sichuan Province have very high ratios of manganese to iron (Mn/Fe). Factor analysis has shown that these values distinguish nephrites from this source from other D-nephrites in China, as well as from S-nephrites from China and abroad, as seen in Figure 3: nos. 31, 32. The source area lies on the western side of the Longmenshan thrust fault—a fossil subduction zone that marks the collision zone between the Tibetan Plateau and the Sichuan Basin (responsible for the 2008 earthquake there).¹³⁸

Nephrite from serpentinite: S-nephrites (Ns)*Contact between serpentinite and various rocks*¹³⁹

Although nephrites from serpentinite occur in comparable geological environments throughout the world, the specific combinations of rocks are varied and the processes of nephrite derivation are also varied. The geological environment is within ophiolite belts. S-nephrite forms via contact metasomatism between the host rock of serpentinite

¹³⁷ Ling *et al.* (2015).

¹³⁸ Tectonics Observatory (2008).

¹³⁹ Summarised from Harlow *et al.* (2014: 347–57).

(or serpentinising peridotite) and a variety of rocks with high silica content: plagiogranite, albitite, metagabbro, muscovite–quartz schist, graywacke, shale, phyllite, or chert.¹⁴⁰ Interestingly, Z. Zhang *et al.* specifically list ‘marble or dolomite’ as a contact rock for the host-rock serpentinite in making S-nephrites.¹⁴¹

The formation of S-nephrite is not yet well understood, with several models and experiments being replicated. However, Harlow *et al.* conclude that S-nephrite forms through replacement of serpentine minerals, drawing calcium from silicic rocks.¹⁴² Zoned progression is typical of the metasomatism, with nephrite usually forming closest to the serpentine host-rock; other zones may contain diopside, epidote, talc, chlorite, albitite, zoisite, and rodingite¹⁴³—depending on the contact rock and other conditions.¹⁴⁴ A model for metasomatic zoned alteration between serpentinite and a granite is shown in Figure 11.

Qinghai D-nephrites and S-nephrites

Qinghai nephrite, also known as Kunlun jade, is obtained from near Golmud City in southern Haixi Prefecture, Qinghai Province. Eight named localities are known, and three mines are in operation. More than 30 nephrite orebodies are being exploited— all primary, not alluvial, deposits.¹⁴⁵ This is an interesting case because both D-nephrites and S-nephrites have been discovered here.

Early work showed that Qinghai nephrite contains wollastonite (CaSiO_3)— distinct from Hetian nephrite.¹⁴⁶ Work in 2016 showed that all the nephrite from around Golmud contains tremolite. However, among these tremolites, both D-nephrite and S-nephrite were identified—indicating the presence of both host rocks, dolomarmarble and serpentinite, in the same tectonic setting.¹⁴⁷ This would be consistent with the presence of ophiolites including limestone caps, though no mention is made of ophiolites in Li *et al.*’s report.

Interestingly, among S-nephrites from serpentinite, two different original rock types could be identified through differences in their rare earth element (REE) content: serpentinite based on dunite (one of the peridotite derivatives) and serpentinite based on gabbro. This level of identification makes it clear that jade sourcing can

¹⁴⁰ Harlow *et al.* (2014: Table 10-5).

¹⁴¹ Zhang Z. W. *et al.* (2012: 367).

¹⁴² Harlow *et al.* (2014: 355–6).

¹⁴³ The rock rodingite has a varied composition but may include the minerals grossular, hydrogrossular (hibschite), chlorite, diopside, vesuvianite (idocrase), zircon, albite, hornblende, garnet, epidote, zoisite, quartz, prehnite, clinozoisite, and biotite.

¹⁴⁴ Harlow *et al.* (2014: Table 10-5).

¹⁴⁵ Yu *et al.* (2016).

¹⁴⁶ Li R. *et al.* (2004).

¹⁴⁷ Yu *et al.* (2016).

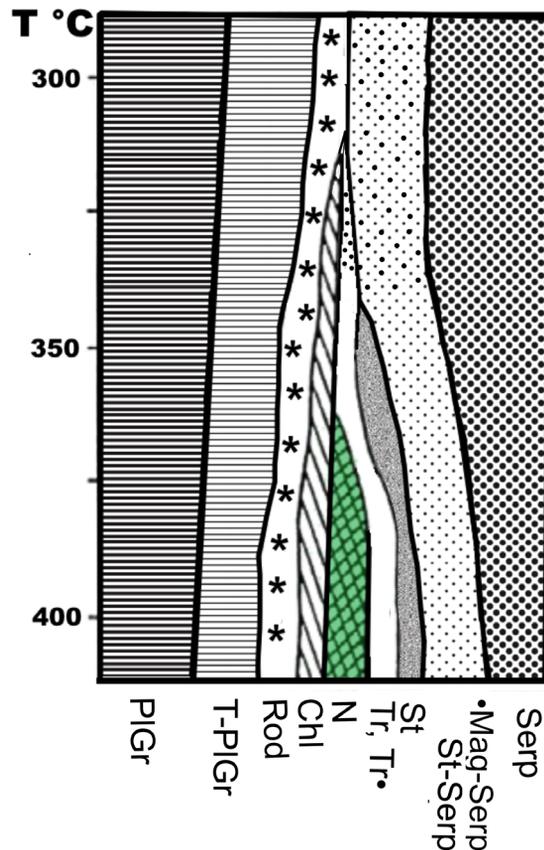


Figure 11. Experimental model by Karpov and colleagues of metasomatic contact between serpentinite and a granite, causing zoned alteration, at P/T of 200 MPa and 280–425°C; S-nephrite appears in the centre (modified after Harlow *et al.* 2014: Figure 10-30b). Zonation from the left—PIGr: plagiogranite, T-PIGr: tremolitized plagiogranite, Rod: rodingite, Chl: chloritite, N: nephrite from serpentinite, Tr: tremolite, Tr•: tremolite–magnetite rock, St: steatite, •Mt-Serp: magnetite–serpentine at top, St-Serp: steatized serpentinite at bottom, Serp: serpentinite.

distinguish between very localised products, but the numerical possibilities here are enormous among 30 known orebodies.

Another interesting lesson from Qinghai is that serpentinite and dolomarmarble can exist in the same tectonic setting: that is, within an ophiolite belt or orogenic zone. This arises from dolomite layers in continental shelf areas caught up in the emplacement of an ophiolite slice in the continental edge. The juxtapositioning not only facilitates the formation of both S- and D-nephrites, but also it brings their associated minerals into proximity.

Manas jades in the northwest

The other important source of jades in Xinjiang Province is located north of the Tarim Basin in a completely different orogenic zone. This is the Palaeo–Asian Ocean region that has given rise to the CAO (Central Asian Orogenic Belt). This source has only been exploited since the early 20th century. S-nephrites from Manas formed in serpentinite derived from ultramafic igneous peridotites, and they therefore attest to the presence of ophiolites in this region. The essential mineral was named as tremolite but with high amounts of iron due to its ultramafic derivation.¹⁴⁸ Chemical analysis showed that the ‘tremolite’ contents range from 80 to 95 per cent, thus crossing the tremolite/actinolite border; minor minerals include diopside, chlorite, garnet, and chrome spinel.¹⁴⁹

Mineral associations*Nephrites and their associated minerals*

It can be seen from the above descriptions that the formation of nephrite is associated with the formation of other metamorphic rocks and minerals, whether they precede nephrite (i.e., marble or serpentine) or are concurrent with its formation. Concurrent minerals may exist in three forms:

- as minor minerals in jade rocks, making up the last 10 per cent of the nephrite. These minerals can be microscopic—occurring as tiny crystals of a few tens of microns—up to several millimetres in size;¹⁵⁰
- as major minerals in zoned bands, such as chlorite in the chloritite band and talc in the steatite band in Figure 11;
- chlorite, calcite, quartz and talc, serpentine, etc. as mineral deposits in their own right.

The sharing of elements and minerals between the host rock and formative zonation can possibly be used to match non-nephrite objects made of mineable minerals with nephrite sources. It is logical that such minerals and rocks would be mined together and possibly distributed together for artefact fabrication. Under some conditions, the resulting objects might all be kept together in usage patterns, or they could be divided by value and thus inform us on status and ranking within a society. In studying such phenomena in the archaeological record, it is important to monitor all types of ornamental stones, not just those highly valued nephrites that approach the ideal tremolite composition.

¹⁴⁸ Han & Hong (2009) and Tang Y. *et al.* (2002). It was early on referred to as Manas jasper (Zou *et al.* 2002).

¹⁴⁹ Shi Q. (1987).

¹⁵⁰ Iizuka (2012).

Many minerals and rocks are common to both nephrite ore deposits and assemblages of false jades, confirming that the latter may have an important role to play in determining nephrite sources, and artefact production and consumption patterns.

Two areas where nephrites occur in association with other types of potentially ornamental rocks are discussed below.

Jades from the Qinling orogenic zone

As mentioned above, some of the major types of ‘jades’ used in China throughout the centuries were not and are not ‘true jades’ but other rocks and minerals. However, some of these non-nephrite jades were subject to the same formation processes or occur in the same tectonic settings as nephrites. Here, Dushan jade (a non-nephrite) and Lantian jade (both nephrite and non-nephrite) will be discussed.

Dushan jade, from Nanyang City in Henan Province, is a zoisitised plagioclase formed through many metamorphic events within the Qinling orogenic belt.¹⁵¹ It is an aggregate of three minerals: plagioclase, zoisite, and varieties of hornblende. This jade formed in a fault zone under extreme tectonic stress—where cataclastic metamorphism involved the grinding of rocks, leading to the generation of fluids and recrystallisation of minerals.¹⁵² Metasomatic textures have been reported. Several trace-elements, especially chromium, produce Dushan jade of different colours, resulting from several different episodes of metamorphic reaction.

Among the accessory minerals of Dushan jade are tremolite and actinolite. At least some of this tremolite is known to be felted, but the issue here is whether this mineral can occur in large enough masses to be mineable as nephrite rather than just an accessory to zoisitised plagioclase. The answer to this could lie in the tectonic setting of Luanchuan nephrite, which occurs slightly northwest of Dushan in the northern Qinling orogenic zone. We saw above that Luanchuan nephrite was likely produced within fault zones under tectonic stress, similar to Dushan jade. However, the presence in that region of dolomarlite and meta-gabbro provided the proper constituents for the formation of ‘true jade’.

Further west, the Qinling orogenic zone runs south of Xi’an City, Shaanxi Province, where the Chang’an capitals of the Han and Tang Dynasties were located. The official jade mine of the Tang Dynasty was situated at Mt. Yu (Jade Mountain, now called Mt. Wangshun) in the Qinling Mountains about 30–35 km southeast of Chang’an.¹⁵³ Lantian jade was exploited through several early dynasties into the 13th

¹⁵¹ Zhang G. *et al.* (1989), Hacker *et al.* (2004).

¹⁵² Xiao Q. *et al.* (2009).

¹⁵³ Anon (n.d.a.); the geographical source of Lantian jades is said in Shi Q. (1987) to be 玉泉山 (Mt. Yuquan); there is a Mt. Quan (泉山) located north of Xi’an near Yan’an City, but 玉泉山 is likely a mistake (*quan* for *chuan*) or conflation of 玉山 (Yushan) and 玉川澳 (Yuchuanzhen, Yu River Township) near

century, and then it disappeared. New sources were discovered in 1978,¹⁵⁴ but it is controversial whether the *new* jade is the same material as archaic Lantian jade (*lantianyu*).¹⁵⁵

Lantian jades, found in Lantian County, may have formed by the same types of metamorphic process. Over 100 ophiolites are known from the Qinling orogenic zone, so it is possible that contact metasomatism was responsible. Some archaic Lantian jades are identified as nephrite; white and whitish-yellow tremolite was highly prized, but a blue variety was exceptionally treasured.¹⁵⁶ The exact source of these nephrites is unknown and may have been exhausted early on. Almost all modern Lantian jades are not nephrite.

Mineralogical analysis identified several different types of modern Lantian jade:¹⁵⁷ two types based on serpentine minerals,¹⁵⁸ and a separate type of serpentinitised marble. They all have similar accessory minerals (calcite, dolomite, talc, augite, chlorite, zircon, and tremolite), but the trace elements, manganese, zirconium, and zinc, occur at higher levels in the marble, further distinguishing the two rocks.

Thus, the Qinling orogenic zone has given rise to nephrite as well as several kinds of non-nephrite jades: serpentinite (antigorite, lizardite), zoisitised plagioclase, and serpentinitised marble.

Jades from the Jiao–Liao–He belt

To the northeast, the Xiuyan Manchu Autonomous District in Liaoning Province now supplies more than 60 per cent of today's serpentinite jade for the domestic market—in addition to overseas sales. Three colours are prominent, based on varieties of the three main serpentine minerals: lizardite is green, antigorite is white, and yellow is formed from lizardite, antigorite, and chrysotile.¹⁵⁹

Several jades are known from Xiuyan: plain serpentinite jade is known as 'new mountain jade' or *xiuyu*. Such serpentinite invaded by iron oxides is called flower jade, or *hwayu*. *Jiacui* is a hemi-jade: a mixture of tremolite and serpentine. In addition, there are two types of nephrite: *Longtan moyu*, a black tremolite–actinolite containing

Mt. Yu 玉山 in Lantian County southeast of Xi'an. The Shi Q. (1987) reference is probably responsible for Beijing Tourism stating that Lantian jade comes from *north* of Xi'an, while most cite Yuchuan 玉川, Lantian County in the southeast as the source.

¹⁵⁴ Bijing Tourism (2014), Anon. (n.d.a).

¹⁵⁵ Wang Y. *et al.* (2012).

¹⁵⁶ Mindat (n.d.), citing Zhang J. & Luo (2002).

¹⁵⁷ Wang Y. *et al.* (2012), Zhang J. & Luo (2002), Xia & Luo (2002).

¹⁵⁸ One, ophicalcite, is serpentinite massively intruded by calcite veins (Wen & Jing 1996, Strekeisen 2007–17).

¹⁵⁹ Liu J. & Cui (2012).

calcite and olivine. And *laoyu* ‘old jade’, which is a white tremolite.¹⁶⁰ A serpentinised marble is another product containing 10–65 per cent serpentine minerals.¹⁶¹ Tremolite jade has also been discovered in nearby Kuandian. These are the two sources in Liaoning, and it should now be clear that none of these product names directly reveals their mineral contents.

New research has provided a geological setting for the formation of these jades: the Jiao–Liao–He belt, which stretches across the Liaodong Peninsula into the Shandong Peninsula, previously interpreted as a rift zone, has been reinterpreted as an arc–continent collision zone.¹⁶² It may thus be part of the Nipponides orogenic belt that extends from Sakhalin Island in the north through Japan and Cathaysia perhaps as far as Hainan Island.¹⁶³ This would potentially provide the limestone and igneous rocks necessary for host rock and nephrite formation and account for the abundance of serpentinite in the region. It is possible, judging from map locations, that Laiyang jade from Yantai County in Shandong¹⁶⁴ and the newly discovered Pizhou City ‘Lantian jade’ also formed in the Jiao–Liao–He belt as it dives deep into Jiangsu Province. Again, the juxtapositioning of the igneous and limestone rocks in specific tectonic settings, as seen in southeastern China,¹⁶⁵ is the clue needed for mining these valued ornamental rocks: more serpentinite than nephrite, but the potential for both.

Nephrite analyses

Previous archaeological research on sourcing Chinese D-nephrite artefacts ground to a halt in the 1990s for several reasons.¹⁶⁶ First, the composition of tremolite objects was assessed through destructive whole-rock chemical analysis; there was not enough variation to distinguish between the nephrites (because they all conformed to the major and minor elements in the ‘ideal’ tremolite composition, as seen in Table 3). Second, there were few if any analyses of raw D-nephrites from geological sources with which to compare minor and trace elements.

Analyses of archaeological jades in China picked up again in the early 2000s, after non-destructive PIXE analyses became available, though this technique did not become popular until 2010.¹⁶⁷ PIXE provides compositional data for individual points

¹⁶⁰ Anon. (n.d.b), Liang (2012).

¹⁶¹ Liu Y. (2013).

¹⁶² Li Z. *et al.* (2016).

¹⁶³ Yakubchuk (2008).

¹⁶⁴ Liu L. (1996) and Shi Q. (1987).

¹⁶⁵ Niu *et al.* (2015).

¹⁶⁶ Jing Zhichun (pers. comm. February 2014, April 2015).

¹⁶⁷ Proton Induced X-ray Emission; cf. Chen T. *et al.* (2004).

on samples; with the recognition that composition can vary through space in a zoned deposit, several spot analyses on individual samples are required to understand the structure of sample composition. This is particularly useful if samples cross the tremolite–actinolite border, or to elucidate colour gradations, as in Alamas nephrite or post-depositional alteration. In this respect, hemi-jades—where the content of tremolite–actinolite or jadeite drops below the percentage used to define nephrite and jadeite rocks—might be most useful. The associated minerals in such compositions are clearly associated with the true jade minerals and indicate conditions of formation that perhaps can be traced to the source.

More chemical data are now available on nephrite source materials than on nephrite artefacts. Reports must be handled with care, however, because terminology is constantly a source of misunderstanding. For example, one report discusses ‘serpentine jade’ clearly divided into Type I from carbonate and Type II formed from metasomatism of ultrabasics.¹⁶⁸ These are not to be confused with dN and sN but represent stage (3) process in Figure 5 identifying serpentine minerals from different parent rocks. The characters for another green stone, ‘jasper’ (*biyu* 碧玉), can also be used to mean ‘green jade’, usually sN. Another problem is the naming of minerals in compositional analyses: it is not always clear whether tremolite and/or actinolite have the felting necessary for the rock to be considered as nephrite.

For the few artefact tests that have been done, analysis generally aims at identifying the mineral or rock, particularly to distinguish nephrites from non-nephrites. Little work has been done on the distinctive compositions of either of these groups of archaeological artefacts. Nevertheless, some unique characteristics have been discovered in nephrite source materials.

Hydrogen/oxygen isotope ratios $\delta D(\text{‰})/\delta O^{18}(\text{‰})$ in Alamas nephrites ‘clearly indicate differences in formation temperature, isotope compositions of the source rock, and/or fluid/rock ratio during nephrite formation’ compared with other D-nephrites around the world.¹⁶⁹ Local geological conditions have contributed to other distinctive varieties. The high strontium–Sr content of Xiaomeiling nephrite is noted as distinguishing it from all others, and it also has high potassium–K and sodium–Na contents; the strontium is derived from the surrounding country rock, as indicated by the presence of a deposit of celestine (SrSO_4) about 60 km from Xiaomeiling at Aijing Hill in Lishui, Jiangsu Province.¹⁷⁰ Similarly, Wenchuan nephrite has high manganese/iron ratio (Mn/Fe) due to a deposit of the polianite variety of

¹⁶⁸ Chen Q. *et al.* (2014).

¹⁶⁹ Liu Y. *et al.* (2011b: 450), and Figure 8.

¹⁷⁰ Zhang Z. W. *et al.* (2012).

pyrolusite (MnO_2) about 80 km away at Heishui, Sichuan.¹⁷¹ Qinghai nephrites contain wollastonite (CaSiO_3), distinguishing them from Hetian nephrites.¹⁷²

Artefacts made from nephrite from these sources should exhibit similar chemical constitutions. Jades from the Jinsha Culture in Sichuan have characteristic rare earth element contents (REE) that conform to the Longxi Township nephrite source, in Wenchuan County.¹⁷³ However, analysis of jade objects excavated from Liangzhu Culture graves proved them *not* to be made from Xiaomeiling nephrite, despite initial assumptions that they were.¹⁷⁴ The Liangzhu artefacts did not have the high strontium levels of the Xiaomeiling source material. Longshan jades have high Mn/Fe ratios, but they were not at that time attributed to the Wenchuan source.¹⁷⁵ An outstanding characteristic of Liangzhu jades instead is their retention of sedimentary structures from the original limestone.¹⁷⁶

There is also growing use of absolute dating of nephrites and nephrite source materials to distinguish products and processes. Using argon-isotope dating, Xiaomeiling nephrites have been dated to *ca.* 120 mya, compared to nephrites from Qiemo at 277.3 mya.¹⁷⁷ Testing of Early Neolithic artefacts from the nearby archaeological site of Lingjiatan produced *estimated* ages of 40–120 mya (based on several assumptions), making it more likely they were from the Xiaomeiling deposit (but strontium levels were not assessed). Comparative dating of the titanite formed simultaneously within the tremolite at Luanchuan, using SIMS U–Pb dating and U–Th–Pb dating of meta-gabbro, showed that the tremolite is 500 million years younger than the meta-gabbros.¹⁷⁸ Thus, nephrites could not have formed by contact metasomatism with gabbro.

¹⁷¹ Siqin *et al.* (2012), Zhang Z. W. *et al.* (2012).

¹⁷² Li R. *et al.* (2004).

¹⁷³ Xiang *et al.* (2008).

¹⁷⁴ Gan *et al.* (2010), Zhang Z. W. *et al.* (2011, 2012).

¹⁷⁵ Douglas (2005: 208).

¹⁷⁶ Tsien *et al.* (1996).

¹⁷⁷ Chou *et al.* (2009).

¹⁷⁸ Ling *et al.* (2015).

CONCLUSIONS

Much of this paper has been devoted to definition of terms and explanations of geological settings and processes involving nephrite and jadeite formation. At a minimum, we should bow to geological practice and correctly refer to nephrite and jadeite rocks, while saving specific mineral names (tremolite, actinolite, and jadeite) for the mineral constituents of those rocks. Furthermore, we must never assume that a ‘jade’ object is made of either nephrite or jadeite without examination. Many other rocks and minerals have been used, past and present, as ornamental stones. Walker gives a good review of jade simulants, their various ‘jade’ names, and how to distinguish them from true jades.¹⁷⁹ Identifying simulants and false jades can protect the wallet, but the information the latter hold for archaeological studies should not be ignored.

One terminological problem remains: that is the close phrasing of ‘serpentine jade’ and ‘nephrite from serpentine’. These two should not be confused. Nephrite formed in serpentinite (S-nephrite) is most often actinolite, a true jade mineral, while serpentine or serpentinite ‘jade’ is comprised of one or more of the serpentine minerals.

The potential occurrence of ophiolitic or *mélange* serpentinite across the landscape provides a ready source for its use in ornamental-object production, as in Soochow (bowenite), Lantian (antigorite, lizardite), and Xiuyan (antigorite, lizardite) jades. Identification of these products—serpentinite and nephrite—as artefacts may inform on source regions. Xiuyan is a particularly difficult source, as the name is applied to both D-nephrite and serpentine jade; the former is called ‘old jade’ *laoyu*—found in archaeological sites—while the latter supplies most of the serpentinite false-jade market in China today.

Among the D-nephrites (from dolomarlite), white tremolite objects have in the past been valued by archaeologists more highly than other colours. The past analytical concentration on white tremolites to the exclusion of other colours stalled research efforts on sourcing, but today, even some Hetian nephrites are recognised to be actinolite, having darker green colour with increasing iron content.¹⁸⁰ The identification of colour-bearing constituents of the nephrite matrix leads to better description: chromite inclusions rather than ‘black spots’. Better vocabulary for describing nephrites must follow increasingly accurate description: instead of ‘brown inclusions’, read ‘ochre to bright orange staining from iron oxidation in the weathering rinds of alluvial/eluvial nephrite boulders’.¹⁸¹

¹⁷⁹ Walker (1991).

¹⁸⁰ Shi M. *et al.* (2015).

¹⁸¹ Harlow *et al.* (2014: 341).

As the processes of nephrite formation and tectonic settings become clearer, the significance of many nephrite characteristics increases (especially the significance of REE contents and trace elements). Plate tectonics plays a large role in determining the nature and distribution of nephrite sources across the landscape. Jades can be dated with radiometric techniques such as argon isotope and uranium–lead isotope dating, allowing nephrite artefacts to be matched to ophiolite-belt dates and possible sources therein. As the dates of Chinese ophiolites stretch over a billion years, there is the possibility of wide divergence among them. The Shanghai Institute of Ceramics is in the process of establishing a geological database for nephrite minerals from different deposits, investigating nephrite isotopic ratios, and analysing parent rocks and their formation processes of nephrite.¹⁸²

The future looks bright for jade research in China, and many results are being published in English in major scientific journals (however, be wary of machine-translated texts). This should open a new era of investigation by archaeologists into assemblage compositions by rock and mineral type, their possible sources, patterns of raw-material procurement, artefact manufacture, distribution, and consumption. Information should be given on where the artefacts were excavated and where they are now located, along with published references. In all mineralogical and geochemical analyses of nephrite, we would hope that certain information is always provided:

1. host rock and contact rock, their ages and tectonic settings;
2. identity of essential mineral(s) (not just ‘nephrite’) and whether the minerals are felted or not;
3. chemical composition and spectroscopic results;
4. a statement whether the nephrite minerals are thought to be derived from dolomarlite or serpentinite, and whether that serpentinite is derived in turn from dolomarlite or mafic/ultramafic rocks;
5. postulation on metamorphic processes (contact metamorphism, contact metasomatism, cataclastic metamorphism): which rocks were involved and what chemical transformations took place; and
6. which rocks contributed the elements that form the minerals (e.g., iron from ultramafics).

Then we can begin to fill in the blank spaces in Table 7 and track patterns of dating, geographic locations, rock associations, and mineral assemblages. The use of rare earth elements and other trace elements in characterising sources has already produced interesting associations and identified distinguishing characteristics. Exciting, indeed!

¹⁸² Siqin *et al.* (2014).

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This paper has been in development since January 2012 and was first delivered as a powerpoint lecture at the British Academy on 2 February 2017. The video of this lecture is accessible at: <https://www.britac.ac.uk/video/understanding-chinese-jade-world-context>, and at https://www.youtube.com/watch?time_continue=1&v=Y3Du-2M2-gQ

My powerpoint presentation is very similar to one given by Trudy Kwong in May 2015 to the Hong Kong Geological Society. Hers is mounted on her ResearchGate site at https://www.researchgate.net/publication/277477321_Public_Seminar_for_Geological_Society_of_Hong_Kong_Geological_Settings_and_Formation_of_Jade. I did not see this until April 2017 as I was finalising this paper for submission to the *Journal of the British Academy*, but I was astounded to find it very similar to my own. Upon communicating with Trudy, we discovered similar interests and found that we had the same geological background and were using the same sources, i.e. Harlow and colleagues, so it is not surprising that the contents of our powerpoints resemble each other. Her main interest, however, is in jadeitite, having presented her powerpoint after visiting Myanmar.

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